

OFFICE OF CHIEF COUNSEL
Safety Law Division

FEB 3 2005

RAILROAD SAFETY CIVIL PENALTY CASES
CLOSED DURING FISCAL YEAR 2004

This report summarizes the disposition of all civil penalty cases on which the Federal Railroad Administration (FRA) reached an agreement to collect, or issued an order requiring the payment of, a civil penalty during fiscal year 2004 under the Federal railroad safety statutes and regulations. Cases were closed under most of these statutes by agreements of settlement, and under the hazardous materials transportation laws (HMT) generally by final orders of assessment. Total penalty settlements and final assessments against companies, summarized in this report, were as follows:

Railroad safety statutes (except HMT)	\$ 7,967,675
HMT	<u>\$ 2,636,245</u>
Total Civil Penalties	\$10,603,920

The Federal Railroad Safety Statutes and Regulations

In the 1890s, Congress began regulating the railroad industry for safety purposes by enacting narrowly drawn laws to deal with discrete rail safety issues such as safety appliances and locomotive inspection. Having determined the need for more comprehensive regulation, Congress enacted the Federal Railroad Safety Act of 1970 (FRSA), which granted rulemaking authority over "all areas of railroad safety." FRA has exercised this authority by issuing a wide variety of rail safety regulations. See Parts 209 through 244 of Title 49 of the Code of Federal Regulations. Civil penalties are an important means of enforcing those regulations and the safety statutes.

In 1975, the Hazardous Materials Transportation Act (HMTA) added civil penalties to the criminal penalties already available for hazardous materials violations. HMTA regulations, although issued by the Department of Transportation's (DOT's) Research and Special Programs Administration, are generally enforced by the DOT administration responsible for each mode of transportation, e.g., by FRA in cases involving the transportation or shipment of hazardous materials by rail. In 1994, Congress repealed the FRSA, HMTA, and other Federal railroad safety statutes and recodified them in Title 49 of the United States Code, chapters 51 and 201-213.

The Civil Penalty Process

In aid of their efforts to promote safety compliance, inspectors employed by FRA and participating states submit reports alleging violations of Federal safety laws and regulations to the Safety Law Division of the Office of Chief Counsel. This Division reviews the reports for legal sufficiency, aggregates those of one type containing one or more alleged violations into a single case, assesses a penalty for each alleged violation, and transmits the case to the railroad, shipper, individual, or other entity under a penalty demand letter or, if an HMT case, a Notice of Probable Violation. After a respondent in such a case has conducted its own investigation, and unless the respondent decides to simply pay the full amount of the initial assessment, negotiations are held, during which the respondent presents defenses or arguments in mitigation and offers of

settlement or final assessment are exchanged. In these negotiations, FRA focuses on applying the statutory assessment criteria to the facts of each case. When an agreement is reached, payment is generally due within 30 days of its execution. A more detailed statement of this process and FRA's enforcement policies is included in 49 CFR Part 209, Appendix A. Where settlement cannot be reached, cases are referred to the Department of Justice for litigation. The Attorney General, with FRA's active participation, brings suit in the appropriate Federal court.

HMT cases are normally concluded by issuance of an order of assessment predicated on a finding of a knowing violation. Where an HMT case cannot be resolved informally, a respondent is entitled to a formal hearing on FRA's allegations before a hearing officer designated by the Chief Counsel and then to an appeal to the Federal Railroad Administrator prior to judicial litigation.

FRA ordinarily closes the vast majority of its civil penalty cases without need for litigation, which is consistent with the rail safety statutory scheme that promotes compromise based on specified settlement criteria. The negotiation process takes into account the strengths and weaknesses of each case and the overall goals of the safety program. We believe that collection of these penalties, while just one of many compliance tools FRA uses, contributes significantly to improving regulatory compliance and achieving safety program goals.

Michael T. Haley
Deputy Chief Counsel

Abbreviations Used in this Report

The type of violation alleged in each civil penalty case can be identified using the following codes, one of which appears as a suffix to each case number:

AD	Alcohol and drug regulations
AR	Accident reports laws and regulations
BW	Bridge Worker Safety Standards
EO	Emergency Order (under 49 USC § 20104)
EP	Railroad Safety Enforcement Procedures
EQ	Engineer qualifications regulations
FCS	Railroad Freight Car Safety Standards
GC	Grade Crossing Signal System Safety
GS	Safety Glazing Standards
HMT	Hazardous Materials Regulations
HS	Hours of service laws
HSR	Hours of service record keeping regulations
LI	Locomotive inspection laws and regulations
NE	Railroad Noise Emission Compliance
PEP	Passenger Train Emergency Preparedness
PEQ	Passenger Equipment Safety Standards
REM	Rear end marking devices regulations
ROP	Railroad Operating Practices
ROR	Railroad Operating Rules
RSP	Radio Standards and Procedures
RW	Roadway Worker Protection Rules
SA	Safety appliance laws and regulations
SI	Signal inspection law and regulations
TS	Track Safety Standards

Railroads are identified by their common industry designation (see the legend provided), which appears as a prefix to the case number. Hazardous materials and emergency order cases involving shippers are identified by a case number beginning with the letter "Z." Cases involving contractors are identified by a case number beginning with the letter "X." The column labeled "POCA" shows the initial penalty assessed in the case. The column labeled "PRCA" shows the total amount of the initial assessment.

on violations on which FRA concluded it would probably be able to sustain its burden of proof if the case were litigated. PRCA is equal to POCA, therefore, unless FRA concluded that one or more of the violations initially alleged could likely not be sustained. In that case, such violations are considered terminated. Cases against individuals (who are liable for civil penalties for willful violations) are identified by the prefix "Indpen" and are listed separately.

Railroads, Shippers, & Contractors

ACWR ABERDEEN, CAROLINA AND WESTERN RAILROAD
ADBF ADRIAN & BLISSFIELD RAILROAD CO.
AERC ALBANY AND EASTERN RAILROAD COMPANY
AGCR ALAMO GULF COAST RAILROAD
ALS THE ALTON AND SOUTHERN RAILWAY CO.
AM ARKANSAS AND MISSOURI RAILROAD COMPANY
APNC APPANOOSE COUNTY COMMUNITY RAILROAD COMPANY
APRR ALBANY PORT RAILROAD CORPORATION
ARR ALASKA RAILROAD CORPORATION
ARZC ARIZONA AND CALIFORNIA RAILROAD CO.
ATAX ALAMEDA TRANSPORTATION CORRIDOR AUTHORITY
ATK NATIONAL RAILROAD PASSENGER CORPORATION
AUAR AUSTIN AREA TERMINAL RAILROAD
AZCR ARIZONA CENTRAL RAILROAD, INCORPORATED
BHWY BOOT HILL & WESTERN RAILWAY CO.
BM BOSTON AND MAINE CORPORATION
BNSF THE BURLINGTON NORTHERN AND SANTA FE RAILWAY CO.
CEDR CEDAR RIVER RAILROAD COMPANY
CHW CHESAPEAKE WESTERN RAILWAY
CN CANADIAN NATIONAL RAILWAY COMPANY
CORP CENTRAL OREGON & PACIFIC RAILROAD
CP CANADIAN PACIFIC RAILWAY
CR CONSOLIDATED RAIL CORPORATION
CRL CHICAGO RAIL LINK
CRSM CONRAIL SHARED ASSETS
CSX CSX TRANSPORTATION, INC.
CW COLORADO & WYOMING RAILWAY COMPANY
DH DELAWARE AND HUDSON RAILWAY CO., INC.
DI DAKOTA & IOWA RAILROAD
DMVW DAKOTA MISSOURI VALLEY AND WESTERN
DWP DULUTH, WINNIPEG AND PACIFIC RAILWAY COMPANY
EIRR EASTERN IDAHO RAILROAD
EJE ELGIN, JOLIET AND EASTERN RAILWAY COMPANY
FCEN FLORIDA CENTRAL RAILROAD CO.
FEC FLORIDA EAST COAST RAILWAY COMPANY
FSR FORT SMITH RAILROAD COMPANY
GCSR GULF, COLORADO & SAN SABA RAILWAY
GSWR GEORGIA SOUTHWESTERN RAILROAD DIVISION
GTW GRAND TRUNK WESTERN RAILROAD INCORPORATED
HPTD HIGH POINT, THOMASVILLE, AND DENTON RAILROAD CO.
IC ILLINOIS CENTRAL RAILROAD COMPANY
ICE IOWA, CHICAGO & EASTERN
IORY INDIANA AND OHIO RAILWAY
ISRR INDIANA SOUTHERN RAILROAD COMPANY

Railroads, Shippers, & Contractors

ISSR INTERCHANGE SPECIALITIES SRV - RENAMED; SEE NCIR
KBSR KANKAKEE, BEAVERVILLE AND SOUTHERN RR. CO.
KCS THE KANSAS CITY SOUTHERN RAILWAY COMPANY
KO KANSAS & OKLAHOMA RAILROAD
KRT KANAWHA RIVER TERMINALS
KYLE KYLE RAILROAD COMPANY
LCR LAKE COUNTY RAILROAD
LI LONG ISLAND RAIL ROAD
LIRC LOUISVILLE & INDIANA RAILROAD COMPANY
LNS LUZERNE AND SUSQUEHANNA
LS LONGVIEW SWITCHING
LVRR LYCOMING VALLEY RAILROAD
MAW MAU MEE & WESTERN
MBRR MERIDIAN & BIGBEE RAILROAD COMPANY
MJ MANUFACTURERS' JUNCTION RAILWAY COMPANY
MNA MISSOURI & NORTHERN ARKANSAS RAILROAD
MNCW METRO NORTH COMMUTER RAILROAD COMPANY
MRSR MOUNT RAINIER SCENIC RAILROAD
NHIR NEW HOPE AND IVYLAND RAILROAD COMPANY
NWP NORTHWESTERN PACIFIC RAILROAD COMPANY
OHCR OHIO CENTRAL RAILROAD COMPANY
PCC PALOUSE RIVER AND COULEE CITY RAILROAD
PHL PACIFIC HARBOR LINE
RARW RARUS RAILWAY COMPANY
RJCL R.J. CORMAN RAILROAD COMPANY/CLEVELAND
RJCM R. J. CORMAN RAILROAD COMPANY
RJCR R.J. CORMAN RAILROAD
RRRR ROCK AND RAIL, INC.
RSIX RAILSERVE, INC.
SCAX SOUTHERN CALIFORNIA REGIONAL RAIL AUTHORITY
SCRF SOUTH CAROLINA CENTRAL RAILROAD CO., INC.
SCRT SACRAMENTO COUNTY REGIONAL TRANSIT DISTRICT
SEPA SOUTHEASTERN PENNSYLVANIA TRANSPORTATION AUTHORITY
SFS SANTA FE SOUTHERN RAILWAY, INC.
SJVR SAN JOAQUIN VALLEY RAILROAD
SKOL SOUTH KANSAS AND OKLAHOMA RAILROAD
SLRS SMS RAIL SERVICE INC.
SMV SANTA MARIA VALLEY RAILROAD COMPANY
SOU NORFOLK SOUTHERN RAILWAY CO.
ST SPRINGFIELD TERMINAL RAILWAY COMPANY
TASD TERMINAL RAILWAY ALABAMA STATE DOCKS
TIBR TIMBER ROCK RAILROAD COMPANY INC.
TIIZ TRINITY INDUSTRIES, INC.
TM TEXAS MEXICAN RAILWAY COMPANY

Railroads, Shippers, & Contractors

TMBL TACOMA MUNICIPAL BELT LINE RAILWAY
TNER TEXAS NORTHEASTERN RAILROAD
TNMR TEXAS AND NEW MEXICO RAILROAD
TRC TRONA RAILWAY COMPANY
TRE TRINITY RAIL EXPRESS
TRRA TERMINAL RAILROAD ASSOCIATION OF ST. LOUIS
UCRY UTAH CENTRAL RAILWAY COMANY
UP UNION PACIFIC RAILROAD COMPANY
USKS USS/KOBE STEEL COMPANY
UTAH UTAH RAILWAY COMPANY
UTAX UTAH TRANSIT AUTHORITY
VTR VERMONT RAILWAY, INC.
WE WHEELING & LAKE ERIE RAILWAY COMPANY
WSOR WISCONSIN & SOUTHERN RAILROAD
WTJR WICHITA, TILLMAN AND JACKSON RY. CO., INC.
XWEI WINEMA ELECTRIC INC.
YKR YORKRAIL, INC.
YW YREKA WESTERN RAILROAD COMPANY
ZAACO ADVANCED AROMATICS CHEMICAL CO.
ZAAO AOC
ZACH ASHTA CHEMICALS INC.
ZACIN A C INDUSTRIES
ZACR ADM CORN PROCESSING
ZADP ANDREWS PETROLEUM, INC.
ZAG ASH GROVE CEMENT COMPANY
ZAGD AMERIGAS, GLENDALE AND DYSART
ZAGE AGE REFINERY, INC.
ZAGRC AGRA RESOURCES COOP DBA EXOL
ZAGT AMERIGAS
ZAIL AIR LIQUIDE AMERICA CORP
ZAIS ATLANTIC INDUSTRIAL SERVICES
ZALCI ALCOA ALUMINUM, INC.
ZALLC APEX LINE LLC.
ZALN ALON, USA, LP
ZALT ALLIED TERMINALS, INC.
ZAMC ARCHER DANIELS MIDLAND CO.
ZAMK AGMARK FOODS, INC.
ZAMP AMERICAN PRESIDENT INTERMODAL
ZAMRI AMERICAN RAILCAR INDUSTRIES
ZAMS AMERICAN SODA LLP
ZAMZ AMAZON LINES LIMITED
ZANB ANHEUSER BUSH INC.
ZAPC AIR PRODUCTS AND CHEMICAL, INC.
ZAPCH APACHE NITROGEN PRODUCTS, INC.

Railroads, Shippers, & Contractors

ZAPIN ATOFINA PETROCHEMICALS, INC.
ZAPL AMERICAN PRESIDENT LINES LTD.
ZAPS AMERIPOL SYNPOL CORPORATION
ZAPU ALL PURE CHEMICAL CO.
ZARCH ARCH CHEMICALS INC.
ZARE AVENTINE RENEWABLE ENERGY, INC.
ZARG AIRGAS CARBONIC
ZARZ ARIZONA CHEMICAL CO.
ZASC ASHLAND CHEMICAL INC.
ZAST ASTARIS LLC
Zawe ALASKA-WEST EXPRESS
ZBASI BASIC CHEMICAL SOLUTIONS
ZBAYR BAY STATE GAS COMPANY
ZBCPA BENBOW CHEMICAL PACKAGING, INC.
ZBHR BULK HANDLERS, INC.
ZBIO BIO-LAB INC.
ZBMS BRENNTAG MID-SOUTH, INC.
ZBPWC B.P. WEST COAST PRODUCTS
ZBTCI BRENNTAG CANADA INC.
ZCAF CON AGRA FERTILIZER COMPANY
ZCALC CALABRIAN CORP.
ZCARO CAROTRANS INTERNATIONAL
ZCCCO CUSTOM CHEMICAL CORP.
ZCCM CARGILL-CORN MILLING DIVISION
ZCCPQ CALIFORNIA CEDAR PRODUCTS COMPANY
ZCCW BP AMOCO CHEMICAL COMPANY
ZCEP COASTAL EAGLE POINT
ZCERG COAST ENERGY GROUP
ZCFII CREOLE FERMENTATION INDUSTRIES, INC.
ZCFRQ CFR CHEMICAL
ZCFS C.F.INDUSTRIES
ZCGR CASA GRANDE CHEMICALS
ZCHB CLEAN HARBORS OF BALTIMORE
ZCHEV CHEVRON PRODUCTS
ZCHT CHEMICAL TRANSFER COMPANY
ZCI CYRO INDUSTRIES
ZCIBS CIBA SPECIALTY CHEMICALS
ZCLP CELANESE PAMPA LTD PLANT
ZCLU CALUMET LUBRICANT COMPANY
ZCNI CONOCO, INCORPORATED
ZCOU COUNTRYMARK COOPERATIVE, INC.
ZCPCL CHEVRON PHILLIPS CHEMICAL, LLP.
ZCPR CITGO PETROLEUM CORP.
ZCPT CARPENTER CHEMICAL COMPANY

Railroads, Shippers, & Contractors

ZCRM COASTAL REFINING & MARKETING, INC.
ZCROM CROMPTON CORPORATION
ZCRP CARPENTER COMPANY
ZCWHP COWHOUSE PARTNERS, L.L.C.
ZCXD CHEMICAL SOLVENTS, INC.
ZCYT CYTEC INDUSTRIES
ZDCU DOW CHEMICAL COMPANY
ZDDW D.D.WILLIAMSON & COMPANY INC.
ZDECO DEVON ENERGY CORPORATION
ZDF DUPONT
ZDIA DIAL CORPORATION
ZDLT DELTA TERMINALS SERVICES
ZDOE THE DOE RUN RESOURCES CORPORATION
ZDOUG DOUGLAS BATTERY AND MANUFACTRUING COMPANY
ZDTI DOMTAR INDUSTRIES
ZDUK DUKE ENERGY
ZDUP E.I. DUPONT DE NEMOURS AND COMPANY
ZDYNCDYNEA CANADA, LTD.
ZDYNUDYNEA U.S.A. INC.
ZEAG EAGLEBROOK, INC.
ZEAS EVERGREEN AMERICA CORP.
ZEIT ELITE INTERNATIONAL TRANSPORTATION INC.
ZEKC EASTMAN KODAK COMPANY
ZEMCC EXXONMOBIL CHEMICAL COMPANY
ZENP ENERGY PARTNERS
ZEOB ECOLAB, INC.
ZEOST E. OSTERMAN, INC.
ZEOT EOTT ENERGY COMPANY
ZEPA ETHYL PETROLEUM ADDITIVES, INC.
ZEQU EQUISTAR CHEMICALS LP
ZEXX EXXON CORPORATION
ZFEC FERRO CORPORATION
ZFEDR FRONTIER EL DORADO REFINING COMPANY
ZFIC FLINT INK CORP.
ZFMC FMC CORPORATION
ZFMCO FUEL MARKETING CORPORATION
ZFOR FRONTIER REFINING, INC.
ZFPG FOX PACKAGING
ZFPN FRASER PAPERS NEXFOR
ZFTL FTL DISTRIBUTION, INC.
ZGDT GROENDYKE TRANSPORT, INC.
ZGGC MARTIN GAS SALES, INC.
ZGLT GREAT LAKE TERMINAL & TRANSPORTATION CORP.
ZGPA GEORGIA-PACIFIC CORPORATION

Railroads, Shippers, & Contractors

ZGPI GOOD PASTURE INC.
ZGPL GEORGIA-PACIFIC CORPORATION
ZGPR GEORGIA PACIFIC RESINS
ZHIP HI-PORT INC.
ZHLT HALTERMAN LTD.
ZHOL HOLCIM
ZHWS HIRAM WALKER & SONS, INC.
ZIAF INTERAMERICA FORWARDING COMPANY, INC.
ZICC INDUSTRIAL CHEMICAL CORP.
ZIMA INTERPLASTIC CORPORATION
ZINTT INTERNATIONAL MAYTEX TANK TERMINALS
ZIP INTERNATIONAL PAPER CO.
ZIPNA ICI PAINTS NORTH AMERICA
ZIPP INLAND PAPERBOARD AND PACKAGING, INC.
ZIRO IRVING OIL COMPANY
ZISC INDSPEC CHEMICAL
ZITGC INTERGULF CORPORATION
ZJCC JONES CHEMICAL CO.
ZJHO JONES HAMILTON COMPANY
ZJMI JAY-MAR, INC.
ZJOHN JOHN DEERE AND COMPANY
ZJRS J.R. SIMPLOT CO.
ZKCI KOPPERS CO., INC.
ZKEP KEMIRON PACIFIC COMPANY
ZKING KINGSFORD MANUFACTURING COMPANY
ZKMB KINDER MORGAN
ZKMO KMCO, INC.
ZKPI KOPPERS INDUSTRIES, INC.
ZKRC KOCH REFINING CO.
ZKTS KELLERSTRASS
ZLAR LA ROCHE INDUSTRIES, INC.
ZLBI LYKES LINES LIMITED/AMERICANA SHIPS
ZLCQ LA CHEMICAL
ZLPY LYONDELL PETROCHEMICAL CO.
ZLTC LIQUID TRANSPORT CORPORATION
ZLTGX LOGISTECHS, INC.
ZLTT LIQUID TRANSFER TERMINAL
ZLUB LUBRIZOL CORPORATION
ZLUIN LUCITE INTERNATIONAL
ZMAGN MAGNA CARTA TRANSPORTATION
ZMDGP MIDWEST GRAIN PROCESSORS
ZMMCH M & M CHEMICAL CO., INC.
ZNCC NEVILLE CHEMICAL CO.
ZNFC NUTRA-FLO CHEMICAL CO.

Railroads, Shippers, & Contractors

ZNICK NICKEY WAREHOUSE, INC.
ZNLPG NORTHWEST L.P. GAS
ZNOV NOVA CHEMICALS, LTD.
ZNRCI NATIONAL RAIL CAR INC.
ZNUC NUCOR STEEL CORPORATION
ZNYK NYK LINE, INC.
ZOCl OCI CORPERATION
ZOVT OVERNITE TRANSPORTATION
ZOWT OLD WORLD INDUSTRIES
ZPADC PACKAGING ADVANTAGE CORP
ZPHI PHIBRO-TECH, INC.
ZPHO PCS PHOSPHATE COMPANY
ZPRX PRAXAIR, INC.
ZQRS QUALITY RAIL SERVICES INC.
ZRCCO RAIL CONTAINER CORPORATION
ZRDC REICHHOLD CHEMICALS, INC.
ZRE ROADWAY EXPRESS,INC.
ZREM REMET CORPORATION
ZRHN RHINO LININGS USA, INC.
ZRPm RENEWABLE PRODUCT MARKETING
ZSBP SUBURBAN PROPANE
ZSCC SHELL CHEMICAL CO.
ZSEAL SEABOARD CONTAINER CLEANING, LLC
ZSHH STOLT HAVEN HOUSTON, INC.
ZSIMP SIMPLOT COMPANY
ZSIN SINCLAIR OIL CORP.
ZSJW SAN JOSE WATER POLLUTION PLANT
ZSLD SUTHERLAND ASSOCIATES, LLC
ZSNI STOLT NIELSEN COMPANY
ZSNOC SUNOCO CHEMICALS
ZSNPR SUNPOL RESIN AND POLYMERS
ZSNWO STOLTHAVEN (NEW ORLEANS), LLC
ZSOC SHELL OIL COMPANY
ZSON SUN OIL REFINING
ZSOO SUN OIL CO.
ZSPL SIMPLOT PHOSPHATE LLC
ZSRM SUN REFINING & MARKETING
ZSSA STREAMLINE SHIPPERS ASSOCIATION
ZSSCO SAVAGE SERVICES CORPORATION
ZSTE STEPAN CHEMICAL CO.
ZSTS S.T. SERVICES, INC.
ZSTV ST SERVICES/AV FUELS
ZSUC SUPERIOR CARRIERS, INC.
ZTC TOSCO CORPORATION

Railroads, Shippers, & Contractors

ZTCA TECK COMINCO ALASKA, INC.
ZTCAL TRICAL INC.
ZTEPP TEPPCO
ZTERM TESORO REFINING AND MARKETING CO.
ZTGO TEXAS GAS AND OIL LTD.
ZTGOL TRI GAS & OIL COMPANY INC.
ZTHM THREE M COMPANY
ZTKCH TEKCHEM, S.A. DE C.V.
ZTLS TRANSLOADING SERVICES(TSI)
ZTNI TANNER INDUSTRIES
ZTOS TOSCO REFINING CO.
ZTOSC TOSCO CHEMICAL
ZTOT TOTAL PETROLEUM, INC.
ZTPIP TPI PETROLEUM, INC.
ZTRH TRUCK-RAIL HANDLING, INC.
ZTSS TESSENDERLO-KERLEY CO.
ZTULC TULCO OILS INCORPORATED
ZTXM TEXMARK CHEMICALS
ZUDE USA DETERGENTS INC.
ZUDV UDV NORTH AMERICA, INC.
ZUHP UNILEVER HOME & PERSONAL CARE - USA
ZUNA UNOCAL
ZUNC UNION CARBIDE CORPORATION
ZURS U.S. RAILCAR SERVICES, INC.
ZUSD U.S. BORAX
ZUSF US FILTER RECOVERY SERVICE
ZUTC UNION TANK CAR COMPANY
ZVALE VALERO ENERGY CORP (MERGED WITH ZVALM)
ZVALM VALERO MARKETING SUPPLY
ZVEI VELSICOL CHEMICAL CORPORATION
ZVEL VELSICOL CHEMICAL CORP.
ZVGT VAN G. TRUCKING
ZVIE VIE-DEL COMPANY
ZVPK VOPAK USA
ZVPRI VALLEY PROTEIN INC.
ZVTS VENTA TRUCKING SERVICES, INC.
ZVWS VANCOUVER WHARVES
ZWEC WILBUR-ELLIS COMPANY
ZWER WILLIAMS ENERGY SERVICE
ZWFS WESTERN FARMS SERVICE
ZWITT WITTIG ENERGY RESOURCES, LLC
ZWLT WOLF LAKE TERMINALS, INC.
ZWST WESTWAY TERMINAL COMPANY
ZWVC MEAD WESTVACO CORP.

Railroads, Shippers, & Contractors

ZYMP YENKIN MAJESTIC PAINT

ATK2003-28AR 6 \$15,000 \$15,000 \$10,000 09-21-2004
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Federal Railroad Administration
 Safety Cases Closed in FY 2004

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment	
				Amount	Date
ATK2003-29RSP	1	\$1,000	\$1,000	\$620	09-21-2004
ATK2003-30ROP	2	\$10,000	\$10,000	\$7,500	09-21-2004
ATK2003-31PEQ	1	\$10,000	\$10,000	\$6,500	09-21-2004
ATK2003-32AD	1	\$1,000	\$1,000	\$780	09-21-2004
ATK2003-33RSP	8	\$14,000	\$14,000	\$8,680	09-21-2004
ATK2003-34AR	2	\$5,000	\$5,000	\$3,000	09-21-2004
ATK2003-35LI	1	\$2,500	\$2,500	\$1,900	09-21-2004
ATK2003-36ROP	3	\$12,000	\$0	\$0	09-21-2004
ATK2003-37RW	1	\$2,000	\$2,000	\$1,600	09-21-2004
ATK2003-38GC	1	\$5,000	\$5,000	\$3,900	09-21-2004
ATK2003-39HS	4	\$4,000	\$4,000	\$2,600	09-21-2004
ATK2004-1LI	2	\$4,000	\$4,000	\$2,600	09-21-2004
ATK2004-3EQ	1	\$4,000	\$0	\$0	09-21-2004
ATK2004-4RW	1	\$1,000	\$1,000	\$780	09-21-2004
ATK2004-6LI	1	\$2,500	\$2,500	\$1,925	09-21-2004
ATK2004-13TS	1	\$5,000	\$5,000	\$3,900	09-21-2004
ATK2004-14HS	3	\$3,000	\$3,000	\$1,950	09-21-2004
ATK2004-16AR	1	\$1,000	\$1,000	\$600	09-21-2004
ATK2004-17SI	1	\$5,000	\$5,000	\$3,900	09-21-2004
ATK2004-19ROP	1	\$2,000	\$2,000	\$1,400	09-21-2004
AUAR2003-2LI	8	\$33,000	\$33,000	\$20,000	08-19-2004
AUAR2003-3TS	2	\$5,000	\$5,000	\$3,000	08-19-2004
AZCR2004-1SA	1	\$5,000	\$5,000	\$3,000	08-27-2004
BHWY2004-1ROR	1	\$5,000	\$5,000	\$5,000	07-13-2004
BM2003-2TS	3	\$3,000	\$3,000	\$1,500	09-29-2004
BM2003-3TS	1	\$5,000	\$5,000	\$2,500	09-29-2004
BM2003-4TS	1	\$5,000	\$5,000	\$2,950	09-29-2004
BM2003-5TS	2	\$10,000	\$10,000	\$7,200	09-29-2004
BM2003-6TS	1	\$2,500	\$2,500	\$1,800	09-29-2004
BNSF2001-60HMT	1	\$2,000	\$2,000	\$1,300	09-30-2004
BNSF2002-25EP	1	\$2,000	\$2,000	\$1,100	09-30-2004
BNSF2002-58SA	8	\$32,500	\$32,500	\$19,700	09-30-2004
BNSF2002-80GC	7	\$21,000	\$21,000	\$13,613	09-30-2004
BNSF2002-85SA	5	\$22,500	\$22,500	\$13,766	09-30-2004
BNSF2002-104FCS	1	\$2,500	\$2,500	\$1,600	09-30-2004
BNSF2002-106TS	1	\$2,500	\$2,500	\$1,671	09-30-2004
BNSF2002-115SA	7	\$45,500	\$45,500	\$29,221	09-30-2004
BNSF2002-124ROP	2	\$10,000	\$10,000	\$6,500	09-30-2004
BNSF2002-167ROP	1	\$5,000	\$5,000	\$3,250	09-30-2004
BNSF2002-173TS	2	\$3,500	\$3,500	\$2,419	09-30-2004
BNSF2002-179GC	1	\$2,500	\$2,500	\$1,634	09-30-2004
BNSF2002-180SI	1	\$1,000	\$1,000	\$620	09-30-2004
BNSF2002-181GC	2	\$10,000	\$5,000	\$6,488	09-30-2004
BNSF2002-182ROP	1	\$5,000	\$5,000	\$3,250	09-30-2004
BNSF2002-189GC	1	\$5,000	\$5,000	\$3,465	09-30-2004
BNSF2002-190SI	1	\$2,500	\$2,500	\$1,575	09-30-2004
BNSF2003-4SA	4	\$18,500	\$18,500	\$11,655	09-30-2004
BNSF2003-25GC	1	\$5,000	\$5,000	\$3,465	09-30-2004
BNSF2003-26FCS	3	\$20,000	\$20,000	\$13,200	09-30-2004
BNSF2003-31TS	9	\$9,000	\$900	\$5,486	09-30-2004

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Federal Railroad Administration
Safety Cases Closed in FY 2004

FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
ACWR1999-1EQ	1	\$2,000	\$2,000	\$1,000 05-18-2004
ACWR1999-2AR	1	\$2,500	\$2,000	\$1,250 05-18-2004
ADBF2001-1HMT	5	\$21,500	\$0	\$0 02-13-2004
AERC1999-1TS	2	\$10,000	\$0	\$0 08-27-2004
AERC2000-1HSR	2	\$2,000	\$2,000	\$1,600 08-27-2004
AERC2003-1TS	3	\$10,000	\$10,000	\$8,400 08-27-2004
AERC2003-2EQ	2	\$1,500	\$1,500	\$1,000 08-27-2004
AERC2003-3HSR	2	\$2,000	\$2,000	\$1,750 08-27-2004
AGCR2003-1FCS	1	\$5,000	\$5,000	\$5,000 11-19-2003
ALS2000-1LI	4	\$4,000	\$4,000	\$2,500 01-22-2004
ALS2002-1HMT	3	\$13,000	\$13,000	\$7,800 01-22-2004
ALS2002-2SA	3	\$7,500	\$7,500	\$5,250 01-22-2004
ALS2002-3ROP	1	\$5,000	\$5,000	\$2,500 01-22-2004
ALS2003-1SA	1	\$2,500	\$2,500	\$1,500 01-22-2004
ALS2003-2ROP	1	\$5,000	\$5,000	\$2,500 01-22-2004
ALS2003-3LI	2	\$8,000	\$8,000	\$4,800 01-22-2004
ALS2003-4SA	2	\$7,500	\$7,500	\$4,500 01-22-2004
AM1997-1ROP	4	\$20,000	\$0	\$0 12-30-2003
AM1997-2LI	1	\$2,500	\$0	\$0 12-30-2003
AM1998-1REM	1	\$5,000	\$0	\$0 12-30-2003
AM2004-1TS	2	\$7,500	\$7,500	\$7,500 09-01-2004
APNC2003-1GC	1	\$1,000	\$1,000	\$1,000 11-19-2003
APNC2003-2SI	1	\$1,000	\$1,000	\$1,000 12-02-2003
APRR2002-1AR	1	\$2,500	\$2,500	\$1,750 09-24-2004
ARR2003-1GC	1	\$5,000	\$5,000	\$3,500 07-14-2004
ARR2003-2TS	2	\$2,000	\$2,000	\$1,200 07-14-2004
ARR2003-3HSR	2	\$2,000	\$2,000	\$1,400 07-14-2004
ARR2003-5ROR	1	\$2,500	\$2,500	\$2,500 07-14-2004
ARR2003-6GC	3	\$8,500	\$8,500	\$6,000 07-14-2004
ARR2003-7SI	1	\$1,000	\$1,000	\$750 07-14-2004
ARR2003-8TS	7	\$11,500	\$11,500	\$9,000 07-14-2004
ARR2004-1GC	1	\$5,000	\$5,000	\$3,000 07-14-2004
ARZC2002-1HMT	6	\$7,500	\$7,000	\$4,500 04-09-2004
ARZC2003-1SA	1	\$5,000	\$5,000	\$2,500 04-09-2004
ARZC2003-2LI	1	\$2,500	\$2,500	\$1,000 04-09-2004
ARZC2003-3SA	1	\$5,000	\$5,000	\$2,800 04-09-2004
ARZC2003-4SA	1	\$2,500	\$2,500	\$1,250 04-09-2004
ATAX2002-1SI	2	\$6,000	\$6,000	\$6,000 08-27-2004
ATK2002-43RSP	12	\$12,000	\$12,000	\$7,440 09-21-2004
ATK2003-11HS	4	\$4,000	\$4,000	\$3,000 09-21-2004
ATK2003-13PEQ	1	\$2,500	\$2,500	\$1,900 09-21-2004
ATK2003-15ROP	2	\$7,000	\$7,000	\$5,250 09-21-2004
ATK2003-16AR	1	\$2,500	\$0	\$0 09-21-2004
ATK2003-17AR	1	\$2,500	\$2,500	\$1,950 09-21-2004
ATK2003-18LI	4	\$8,000	\$8,000	\$5,200 09-21-2004
ATK2003-19EQ	2	\$6,000	\$6,000	\$4,500 09-21-2004
ATK2003-21PEQ	1	\$10,000	\$10,000	\$6,800 09-21-2004
ATK2003-22PEQ	10	\$25,000	\$25,000	\$16,250 09-21-2004
ATK2003-23PEQ	8	\$8,000	\$8,000	\$5,200 09-21-2004
ATK2003-25AR	9	\$22,500	\$22,500	\$15,650 09-21-2004
ATK2003-27AR	8	\$20,000	\$20,000	\$10,000 09-21-2004

ATK2003-28AR 6 \$15,000 \$15,000 \$10,000 09-21-2004
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FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment	
				Amount	Date
ATK2003-29RSP	1	\$1,000	\$1,000	\$620	09-21-2004
ATK2003-30ROP	2	\$10,000	\$10,000	\$7,500	09-21-2004
ATK2003-31PEQ	1	\$10,000	\$10,000	\$6,500	09-21-2004
ATK2003-32AD	1	\$1,000	\$1,000	\$780	09-21-2004
ATK2003-33RSP	8	\$14,000	\$14,000	\$8,680	09-21-2004
ATK2003-34AR	2	\$5,000	\$5,000	\$3,000	09-21-2004
ATK2003-35LI	1	\$2,500	\$2,500	\$1,900	09-21-2004
ATK2003-36ROP	3	\$12,000	\$0	\$0	09-21-2004
ATK2003-37RW	1	\$2,000	\$2,000	\$1,600	09-21-2004
ATK2003-38GC	1	\$5,000	\$5,000	\$3,900	09-21-2004
ATK2003-39HS	4	\$4,000	\$4,000	\$2,600	09-21-2004
ATK2004-1LI	2	\$4,000	\$4,000	\$2,600	09-21-2004
ATK2004-3EQ	1	\$4,000	\$0	\$0	09-21-2004
ATK2004-4RW	1	\$1,000	\$1,000	\$780	09-21-2004
ATK2004-6LI	1	\$2,500	\$2,500	\$1,925	09-21-2004
ATK2004-13TS	1	\$5,000	\$5,000	\$3,900	09-21-2004
ATK2004-14HS	3	\$3,000	\$3,000	\$1,950	09-21-2004
ATK2004-16AR	1	\$1,000	\$1,000	\$600	09-21-2004
ATK2004-17SI	1	\$5,000	\$5,000	\$3,900	09-21-2004
ATK2004-19ROP	1	\$2,000	\$2,000	\$1,400	09-21-2004
AUAR2003-2LI	8	\$33,000	\$33,000	\$20,000	08-19-2004
AUAR2003-3TS	2	\$5,000	\$5,000	\$3,000	08-19-2004
AZCR2004-1SA	1	\$5,000	\$5,000	\$3,000	08-27-2004
BHWY2004-1ROR	1	\$5,000	\$5,000	\$5,000	07-13-2004
BM2003-2TS	3	\$3,000	\$3,000	\$1,500	09-29-2004
BM2003-3TS	1	\$5,000	\$5,000	\$2,500	09-29-2004
BM2003-4TS	1	\$5,000	\$5,000	\$2,950	09-29-2004
BM2003-5TS	2	\$10,000	\$10,000	\$7,200	09-29-2004
BM2003-6TS	1	\$2,500	\$2,500	\$1,800	09-29-2004
BNSF2001-60HMT	1	\$2,000	\$2,000	\$1,300	09-30-2004
BNSF2002-25EP	1	\$2,000	\$2,000	\$1,100	09-30-2004
BNSF2002-58SA	8	\$32,500	\$32,500	\$19,700	09-30-2004
BNSF2002-80GC	7	\$21,000	\$21,000	\$13,613	09-30-2004
BNSF2002-85SA	5	\$22,500	\$22,500	\$13,766	09-30-2004
BNSF2002-104FCS	1	\$2,500	\$2,500	\$1,600	09-30-2004
BNSF2002-106TS	1	\$2,500	\$2,500	\$1,671	09-30-2004
BNSF2002-115SA	7	\$45,500	\$45,500	\$29,221	09-30-2004
BNSF2002-124ROP	2	\$10,000	\$10,000	\$6,500	09-30-2004
BNSF2002-167ROP	1	\$5,000	\$5,000	\$3,250	09-30-2004
BNSF2002-173TS	2	\$3,500	\$3,500	\$2,419	09-30-2004
BNSF2002-179GC	1	\$2,500	\$2,500	\$1,634	09-30-2004
BNSF2002-180SI	1	\$1,000	\$1,000	\$620	09-30-2004
BNSF2002-181GC	2	\$10,000	\$5,000	\$6,488	09-30-2004
BNSF2002-182ROP	1	\$5,000	\$5,000	\$3,250	09-30-2004
BNSF2002-189GC	1	\$5,000	\$5,000	\$3,465	09-30-2004
BNSF2002-190SI	1	\$2,500	\$2,500	\$1,575	09-30-2004
BNSF2003-4SA	4	\$18,500	\$18,500	\$11,655	09-30-2004
BNSF2003-25GC	1	\$5,000	\$5,000	\$3,465	09-30-2004
BNSF2003-26FCS	3	\$20,000	\$20,000	\$13,200	09-30-2004
BNSF2003-31TS	9	\$9,000	\$900	\$5,486	09-30-2004

BNSF2003-33TS	1	\$2,500	\$2,500	\$1,771	09-30-2004
BNSF2003-38TS	10	\$32,500	\$32,500	\$21,000	09-30-2004
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FRA Case No.	No.	Viol	POCA	PRCA	Amount	Date
BNSF2003-41TS	8	\$30,000	\$30,000		\$19,200	09-30-2004
BNSF2003-42TS	10	\$10,000	\$10,000		\$5,985	09-30-2004
BNSF2003-44TS	16	\$32,500	\$32,500		\$22,758	09-30-2004
BNSF2003-45SA	9	\$35,000	\$35,000		\$21,350	09-30-2004
BNSF2003-46RW	2	\$2,000	\$2,000		\$1,300	09-30-2004
BNSF2003-47HSR	2	\$2,000	\$2,000		\$1,210	09-30-2004
BNSF2003-48FCS	1	\$2,500	\$2,500		\$1,597	09-30-2004
BNSF2003-50ROP	1	\$2,500	\$2,500		\$1,500	09-30-2004
BNSF2003-51SA	1	\$2,500	\$2,500		\$1,550	09-30-2004
BNSF2003-52SI	1	\$2,500	\$2,500		\$1,575	09-30-2004
BNSF2003-54GC	7	\$7,000	\$7,000		\$4,410	09-30-2004
BNSF2003-55GC	8	\$12,000	\$12,000		\$8,096	09-30-2004
BNSF2003-56TS	13	\$65,000	\$65,000		\$44,500	09-30-2004
BNSF2003-57TS	16	\$80,000	\$80,000		\$54,550	09-30-2004
BNSF2003-58GC	2	\$10,000	\$10,000		\$7,524	09-30-2004
BNSF2003-59ROR	1	\$5,000	\$5,000		\$2,600	09-30-2004
BNSF2003-61FCS	1	\$2,500	\$2,500		\$1,596	09-30-2004
BNSF2003-62HMT	6	\$17,998	\$0		\$0	09-30-2004
BNSF2003-65HMT	3	\$15,000	\$15,000		\$9,650	09-30-2004
BNSF2003-66HSR	22	\$22,000	\$22,000		\$13,500	09-30-2004
BNSF2003-67HS	3	\$3,000	\$3,000		\$1,880	09-30-2004
BNSF2003-68AR	2	\$5,000	\$5,000		\$2,750	09-30-2004
BNSF2003-69TS	5	\$17,500	\$17,500		\$11,521	09-30-2004
BNSF2003-70TS	13	\$13,000	\$13,000		\$8,629	09-30-2004
BNSF2003-73HMT	2	\$8,500	\$8,500		\$5,525	09-30-2004
BNSF2003-74SA	1	\$2,500	\$2,500		\$1,550	09-30-2004
BNSF2003-75TS	1	\$5,000	\$5,000		\$3,491	09-30-2004
BNSF2003-76SA	2	\$10,000	\$10,000		\$6,100	09-30-2004
BNSF2003-77EQ	1	\$2,500	\$2,500		\$1,700	09-30-2004
BNSF2003-78SA	1	\$5,000	\$5,000		\$3,025	09-30-2004
BNSF2003-79RW	3	\$11,000	\$6,000		\$4,225	09-30-2004
BNSF2003-80RW	1	\$5,000	\$5,000		\$3,465	09-30-2004
BNSF2003-81RW	1	\$1,000	\$1,000		\$665	09-30-2004
BNSF2003-82FCS	2	\$7,500	\$7,500		\$4,750	09-30-2004
BNSF2003-83HMT	1	\$5,000	\$5,000		\$3,500	09-30-2004
BNSF2003-84HMT	1	\$7,500	\$7,500		\$5,250	09-30-2004
BNSF2003-85HMT	1	\$1,000	\$1,000		\$550	09-30-2004
BNSF2003-86HMT	1	\$6,000	\$6,000		\$4,050	09-30-2004
BNSF2003-87HSR	13	\$13,000	\$13,000		\$8,100	09-30-2004
BNSF2003-88RW	1	\$1,000	\$1,000		\$665	09-30-2004
BNSF2003-89HS	1	\$1,000	\$1,000		\$620	09-30-2004
BNSF2003-90HMT	1	\$1,000	\$1,000		\$650	09-30-2004
BNSF2003-91ROP	1	\$2,500	\$0		\$0	09-30-2004
BNSF2003-92GC	1	\$5,000	\$5,000		\$3,614	09-30-2004
BNSF2003-93EQ	2	\$8,000	\$4,000		\$2,600	09-30-2004
BNSF2003-94GC	1	\$5,000	\$5,000		\$3,614	09-30-2004
BNSF2003-95LI	3	\$9,000	\$9,000		\$5,978	09-30-2004
BNSF2003-97TS	2	\$7,500	\$7,500		\$5,250	09-30-2004
BNSF2003-98RSP	1	\$1,000	\$1,000		\$600	09-30-2004

BNSF2003-99SA	3	\$12,500	\$12,500	\$7,500	09-30-2004
BNSF2003-100RSP	2	\$2,000	\$2,000	\$1,275	09-30-2004
BNSF2003-101SA	2	\$7,500	\$7,500	\$4,525	09-30-2004

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FRA Case No.	Viol	POCA	PRCA	Settlement/Final Assessment	
				Amount	Date
BNSF2003-102TS	1	\$5,000	\$5,000	\$3,150	09-30-2004
BNSF2003-103FCS	6	\$17,500	\$17,500	\$11,000	09-30-2004
BNSF2003-104SA	3	\$10,000	\$10,000	\$6,000	09-30-2004
BNSF2003-105SA	4	\$12,500	\$10,000	\$6,000	09-30-2004
BNSF2003-106SA	2	\$7,500	\$7,500	\$4,703	09-30-2004
BNSF2003-109SA	1	\$5,000	\$5,000	\$3,000	09-30-2004
BNSF2003-110TS	4	\$10,000	\$10,000	\$6,783	09-30-2004
BNSF2003-111SA	1	\$2,500	\$2,500	\$1,500	09-30-2004
BNSF2003-112TS	5	\$5,000	\$5,000	\$3,150	09-30-2004
BNSF2003-113HMT	2	\$6,000	\$6,000	\$3,900	09-30-2004
BNSF2003-114SA	1	\$10,000	\$10,000	\$6,300	09-30-2004
BNSF2003-115SA	2	\$10,000	\$10,000	\$6,000	09-30-2004
BNSF2003-116FCS	1	\$2,500	\$2,500	\$1,800	09-30-2004
BNSF2003-117FCS	1	\$5,000	\$5,000	\$2,500	09-30-2004
BNSF2003-118SA	1	\$2,500	\$2,500	\$1,621	09-30-2004
BNSF2003-119RSP	4	\$8,000	\$8,000	\$5,125	09-30-2004
BNSF2003-120SA	2	\$7,500	\$7,500	\$4,650	09-30-2004
BNSF2003-121TS	2	\$10,000	\$10,000	\$6,828	09-30-2004
BNSF2003-122AR	2	\$5,000	\$0	\$0	09-30-2004
BNSF2003-123HSR	3	\$3,000	\$0	\$0	09-30-2004
BNSF2003-124SA	2	\$7,500	\$7,500	\$4,725	09-30-2004
BNSF2003-126HS	1	\$1,000	\$1,000	\$650	09-30-2004
BNSF2003-127FCS	1	\$2,500	\$2,500	\$1,650	09-30-2004
BNSF2003-128SA	7	\$17,500	\$17,500	\$11,186	09-30-2004
BNSF2003-129HMT	1	\$2,000	\$2,000	\$1,200	09-30-2004
BNSF2003-130HMT	5	\$26,500	\$26,500	\$17,775	09-30-2004
BNSF2003-131RSP	2	\$6,000	\$6,000	\$3,800	09-30-2004
BNSF2003-132TS	4	\$17,500	\$17,500	\$11,894	09-30-2004
BNSF2003-133FCS	3	\$12,500	\$12,500	\$8,300	09-30-2004
BNSF2003-134EQ	2	\$3,500	\$3,500	\$2,660	09-30-2004
BNSF2003-135LI	3	\$6,000	\$6,000	\$3,600	09-30-2004
BNSF2003-137GC	1	\$5,000	\$5,000	\$3,614	09-30-2004
BNSF2003-138SI	1	\$5,000	\$5,000	\$3,500	09-30-2004
BNSF2003-139ROP	1	\$2,500	\$2,500	\$1,500	09-30-2004
BNSF2003-140RSP	2	\$10,000	\$10,000	\$6,350	09-30-2004
BNSF2003-142SA	1	\$2,500	\$2,500	\$1,507	09-30-2004
BNSF2003-143HMT	1	\$5,000	\$0	\$0	09-30-2004
BNSF2003-144SA	1	\$2,500	\$2,500	\$1,550	09-30-2004
BNSF2003-145TS	5	\$12,000	\$12,000	\$7,970	09-30-2004
BNSF2003-146GC	1	\$5,000	\$5,000	\$3,614	09-30-2004
BNSF2003-147SI	1	\$1,000	\$1,000	\$650	09-30-2004
BNSF2003-149GC	1	\$5,000	\$5,000	\$3,614	09-30-2004
BNSF2003-150SA	1	\$5,000	\$5,000	\$3,250	09-30-2004
BNSF2003-151ROP	1	\$5,000	\$5,000	\$3,500	09-30-2004
BNSF2003-152SI	1	\$2,500	\$2,500	\$1,750	09-30-2004
BNSF2003-153FCS	1	\$2,500	\$2,500	\$1,775	09-30-2004
BNSF2003-154HSR	2	\$2,000	\$2,000	\$1,220	09-30-2004
BNSF2003-155FCS	5	\$17,500	\$17,500	\$12,500	09-30-2004

BNSF2003-156SA	1	\$2,500	\$2,500	\$1,550	09-30-2004
BNSF2003-157HMT	1	\$7,500	\$7,500	\$4,875	09-30-2004
BNSF2003-158HS	2	\$2,000	\$2,000	\$1,260	09-30-2004
BNSF2003-159HSR	1	\$1,000	\$1,000	\$625	09-30-2004

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FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment	
				Amount	Date
BNSF2003-160SA	5	\$15,000	\$15,000	\$9,500	09-30-2004
BNSF2003-161SA	5	\$17,500	\$17,500	\$11,000	09-30-2004
BNSF2003-163SA	2	\$5,000	\$5,000	\$3,171	09-30-2004
BNSF2003-164TS	3	\$10,000	\$10,000	\$6,700	09-30-2004
BNSF2003-165HMT	1	\$2,500	\$2,500	\$1,625	09-30-2004
BNSF2003-166RW	1	\$1,000	\$1,000	\$665	09-30-2004
BNSF2003-167TS	4	\$7,000	\$7,000	\$4,700	09-30-2004
BNSF2003-168RW	1	\$1,000	\$1,000	\$665	09-30-2004
BNSF2003-169LI	2	\$3,500	\$2,500	\$1,750	09-30-2004
BNSF2003-170FCS	1	\$2,500	\$2,500	\$1,675	09-30-2004
BNSF2003-171FCS	1	\$2,500	\$2,500	\$1,800	09-30-2004
BNSF2003-174HS	3	\$3,000	\$3,000	\$1,850	09-30-2004
BNSF2003-175HMT	2	\$10,500	\$10,500	\$7,250	09-30-2004
BNSF2003-176HSR	1	\$1,000	\$1,000	\$620	09-30-2004
BNSF2003-177SA	1	\$2,500	\$2,500	\$1,507	09-30-2004
BNSF2003-178SA	1	\$10,000	\$10,000	\$6,200	09-30-2004
BNSF2003-179RW	1	\$1,000	\$1,000	\$665	09-30-2004
BNSF2003-180ROP	2	\$7,500	\$7,500	\$4,725	09-30-2004
BNSF2003-181GC	1	\$2,500	\$2,500	\$1,634	09-30-2004
BNSF2003-182SA	1	\$2,500	\$2,500	\$1,507	09-30-2004
BNSF2003-183GC	1	\$5,000	\$5,000	\$3,663	09-30-2004
BNSF2003-184RW	1	\$5,000	\$5,000	\$3,600	09-30-2004
BNSF2003-185SA	4	\$10,000	\$10,000	\$6,200	09-30-2004
BNSF2003-186SA	4	\$12,500	\$12,500	\$7,650	09-30-2004
BNSF2003-187ROR	1	\$5,000	\$0	\$0	09-30-2004
BNSF2003-188FCS	1	\$5,000	\$5,000	\$3,350	09-30-2004
BNSF2003-189RSP	2	\$10,000	\$10,000	\$6,350	09-30-2004
BNSF2003-190GC	1	\$5,000	\$5,000	\$3,168	09-30-2004
BNSF2003-191SA	3	\$20,000	\$10,000	\$6,130	09-30-2004
BNSF2003-192SA	6	\$15,000	\$15,000	\$9,100	09-30-2004
BNSF2003-193SA	5	\$15,000	\$15,000	\$9,125	09-30-2004
BNSF2003-196LI	1	\$6,500	\$6,500	\$4,225	09-30-2004
BNSF2003-197GC	1	\$5,000	\$5,000	\$3,440	09-30-2004
BNSF2003-198FCS	3	\$7,500	\$7,500	\$4,875	09-30-2004
BNSF2003-199SI	1	\$1,000	\$1,000	\$650	09-30-2004
BNSF2003-200SA	1	\$5,000	\$5,000	\$3,100	09-30-2004
BNSF2003-201LI	1	\$2,500	\$2,500	\$1,862	09-30-2004
BNSF2003-203SA	1	\$5,000	\$5,000	\$3,050	09-30-2004
BNSF2003-205SA	3	\$7,500	\$7,500	\$4,650	09-30-2004
BNSF2003-206SA	3	\$10,000	\$10,000	\$5,500	09-30-2004
BNSF2003-207HS	4	\$4,000	\$4,000	\$2,450	09-30-2004
BNSF2003-208HSR	1	\$1,000	\$1,000	\$700	09-30-2004
BNSF2003-209GC	1	\$2,500	\$2,500	\$1,634	09-30-2004
BNSF2003-210FCS	1	\$2,500	\$2,500	\$1,650	09-30-2004
BNSF2003-211RW	1	\$1,000	\$1,000	\$665	09-30-2004
BNSF2003-213GC	2	\$10,000	\$10,000	\$6,930	09-30-2004
BNSF2003-214TS	7	\$35,000	\$35,000	\$22,500	09-30-2004

BNSF2003-215TS	4	\$15,000	\$15,000	\$10,000	09-30-2004
BNSF2003-216TS	5	\$11,500	\$11,500	\$7,700	09-30-2004
BNSF2003-217TS	6	\$6,000	\$6,000	\$4,115	09-30-2004
BNSF2003-218FCS	1	\$2,500	\$2,500	\$1,650	09-30-2004
BNSF2003-219SA	7	\$32,500	\$32,500	\$19,500	09-30-2004

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Federal Railroad Administration
Safety Cases Closed in FY 2004

FRA Case No.	No. Viol	POCA	PRCA	Settlement/Final Assessment	
				Amount	Date
BNSF2003-220SA	1	\$5,000	\$5,000	\$3,000	09-30-2004
BNSF2003-221HMT	1	\$5,000	\$5,000	\$3,450	09-30-2004
BNSF2003-222HSR	1	\$1,000	\$1,000	\$625	09-30-2004
BNSF2003-223TS	1	\$2,500	\$2,500	\$1,721	09-30-2004
BNSF2003-224AR	2	\$5,000	\$5,000	\$3,444	09-30-2004
BNSF2003-225ROP	2	\$10,000	\$10,000	\$6,300	09-30-2004
BNSF2003-226SA	4	\$17,500	\$17,500	\$10,550	09-30-2004
BNSF2003-227TS	9	\$26,000	\$26,000	\$17,000	09-30-2004
BNSF2003-228SA	2	\$7,500	\$7,500	\$4,750	09-30-2004
BNSF2003-229SA	2	\$5,000	\$5,000	\$3,050	09-30-2004
BNSF2003-230RW	1	\$1,000	\$1,000	\$665	09-30-2004
BNSF2003-231LI	2	\$5,000	\$5,000	\$3,500	09-30-2004
BNSF2003-232BW	1	\$1,000	\$1,000	\$675	09-30-2004
BNSF2003-234SA	2	\$5,000	\$5,000	\$3,100	09-30-2004
BNSF2003-236LI	3	\$8,000	\$8,000	\$5,268	09-30-2004
BNSF2003-238GC	1	\$2,500	\$2,500	\$1,680	09-30-2004
BNSF2003-240RW	2	\$3,000	\$3,000	\$1,827	09-30-2004
BNSF2003-242FCS	1	\$2,500	\$2,500	\$1,750	09-30-2004
BNSF2003-243HMT	1	\$4,000	\$4,000	\$2,600	09-30-2004
BNSF2003-246HMT	1	\$5,000	\$5,000	\$3,250	09-30-2004
BNSF2003-247AR	1	\$2,500	\$2,500	\$1,686	09-30-2004
BNSF2003-248RW	1	\$2,000	\$1,000	\$665	09-30-2004
BNSF2003-250HMT	1	\$3,000	\$3,000	\$1,950	09-30-2004
BNSF2004-2SA	1	\$2,500	\$2,500	\$1,550	09-30-2004
BNSF2004-4SA	3	\$7,500	\$7,500	\$4,550	09-30-2004
BNSF2004-6TS	3	\$8,500	\$8,500	\$5,736	09-30-2004
BNSF2004-8SI	1	\$5,000	\$5,000	\$3,500	09-30-2004
BNSF2004-10TS	1	\$5,000	\$5,000	\$3,469	09-30-2004
BNSF2004-11SA	1	\$5,000	\$5,000	\$3,100	09-30-2004
BNSF2004-12TS	3	\$8,500	\$8,500	\$5,827	09-30-2004
BNSF2004-13HMT	8	\$28,000	\$28,000	\$19,150	09-30-2004
BNSF2004-17FCS	1	\$2,500	\$2,500	\$1,750	09-30-2004
BNSF2004-21SA	1	\$5,000	\$5,000	\$3,100	09-30-2004
BNSF2004-23SA	1	\$10,000	\$10,000	\$6,000	09-30-2004
BNSF2004-25LI	3	\$4,500	\$4,500	\$3,105	09-30-2004
BNSF2004-32HMT	2	\$4,500	\$4,500	\$2,925	09-30-2004
BNSF2004-35FCS	2	\$5,000	\$5,000	\$3,250	09-30-2004
BNSF2004-48SI	1	\$1,000	\$1,000	\$650	09-30-2004
CEDR2002-1GC	1	\$2,500	\$2,500	\$1,800	09-30-2004
CHW2002-1TS	1	\$5,000	\$5,000	\$3,150	09-29-2004
CN2002-42SA	5	\$25,000	\$25,000	\$16,250	09-30-2004
CN2002-45LI	4	\$13,500	\$13,500	\$8,625	09-30-2004
CN2002-46HMT	1	\$6,000	\$6,000	\$3,350	09-30-2004
CN2002-47LI	1	\$2,500	\$2,500	\$1,600	09-30-2004
CN2002-48TS	7	\$35,000	\$35,000	\$23,450	09-30-2004
CN2002-50HS	7	\$7,000	\$0	\$0	09-30-2004

CN2002-51FCS	1	\$5,000	\$5,000	\$3,400	09-30-2004
CN2002-52HMT	1	\$7,500	\$7,500	\$4,750	09-30-2004
CN2002-53FCS	2	\$10,000	\$10,000	\$7,000	09-30-2004
CN2002-54FCS	3	\$15,000	\$15,000	\$10,250	09-30-2004
CN2002-55SI	1	\$2,500	\$2,500	\$1,600	09-30-2004
CN2003-1SA	2	\$7,500	\$7,500	\$4,900	09-30-2004

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**Federal Railroad Administration
Safety Cases Closed in FY 2004**

FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
CN2003-2LI	1	\$7,500	\$7,500	\$4,800 09-30-2004
CN2003-3SA	5	\$12,500	\$12,500	\$8,650 09-30-2004
CN2003-4TS	4	\$20,000	\$20,000	\$13,700 09-30-2004
CN2003-5SA	3	\$7,500	\$7,500	\$3,950 09-30-2004
CN2003-6LI	1	\$10,000	\$10,000	\$6,400 09-30-2004
CN2003-7FCS	1	\$5,000	\$5,000	\$3,300 09-30-2004
CN2003-9TS	3	\$15,000	\$15,000	\$10,900 09-30-2004
CN2003-10SA	1	\$2,500	\$2,500	\$1,700 09-30-2004
CN2003-11ROP	1	\$5,000	\$5,000	\$3,500 09-30-2004
CN2003-12SA	5	\$12,500	\$12,500	\$8,500 09-30-2004
CN2003-13SA	4	\$10,000	\$10,000	\$6,700 09-30-2004
CN2003-14LI	1	\$5,000	\$5,000	\$3,400 09-30-2004
CN2003-15LI	1	\$4,000	\$4,000	\$2,450 09-30-2004
CN2003-16FCS	3	\$7,500	\$7,500	\$5,100 09-30-2004
CN2003-17FCS	1	\$5,000	\$5,000	\$3,500 09-30-2004
CN2003-18HMT	1	\$5,000	\$5,000	\$3,150 09-30-2004
CN2003-19HMT	1	\$4,000	\$4,000	\$2,250 09-30-2004
CN2003-20HMT	1	\$5,000	\$5,000	\$2,650 09-30-2004
CN2003-21SA	2	\$5,000	\$5,000	\$3,600 09-30-2004
CN2003-22FCS	6	\$20,000	\$20,000	\$13,400 09-30-2004
CN2003-23SI	1	\$5,000	\$5,000	\$3,800 09-30-2004
CN2003-24FCS	4	\$10,000	\$10,000	\$7,200 09-30-2004
CN2003-25SA	2	\$5,000	\$5,000	\$3,600 09-30-2004
CN2003-26EQ	1	\$5,000	\$5,000	\$1,500 09-30-2004
CN2003-27FCS	2	\$7,500	\$7,500	\$5,050 09-30-2004
CN2003-28EQ	1	\$2,500	\$2,500	\$1,400 09-30-2004
CN2003-29LI	1	\$2,500	\$2,500	\$1,650 09-30-2004
CN2003-31HMT	1	\$5,000	\$5,000	\$3,250 09-30-2004
CN2003-32HSR	1	\$1,000	\$0	\$0 09-30-2004
CN2003-33TS	1	\$5,000	\$5,000	\$3,600 09-30-2004
CN2003-34SA	4	\$10,000	\$10,000	\$6,700 09-30-2004
CN2003-35SA	4	\$10,000	\$10,000	\$6,300 09-30-2004
CN2003-36SA	3	\$10,000	\$10,000	\$5,800 09-30-2004
CN2003-37HS	4	\$4,000	\$3,000	\$1,650 09-30-2004
CN2003-39SA	2	\$10,000	\$10,000	\$5,900 09-30-2004
CN2003-40LI	1	\$5,000	\$5,000	\$3,250 09-30-2004
CN2003-41AD	1	\$5,000	\$5,000	\$2,550 09-30-2004
CN2003-43FCS	1	\$5,000	\$5,000	\$3,400 09-30-2004
CN2003-44TS	1	\$2,500	\$2,500	\$1,875 09-30-2004
CN2003-45SA	1	\$2,500	\$2,500	\$1,750 09-30-2004
CN2003-46FCS	1	\$2,500	\$2,500	\$1,750 09-30-2004
CN2003-47LI	1	\$7,500	\$7,500	\$4,950 09-30-2004
CN2003-48FCS	1	\$2,500	\$2,500	\$1,750 09-30-2004
CN2003-49SA	2	\$5,000	\$5,000	\$3,400 09-30-2004
CN2003-50SA	2	\$5,000	\$5,000	\$3,150 09-30-2004

CN2003-51TS	1	\$1,500	\$2,500	\$1,650	09-30-2004
CORP2002-5FCS	1	\$5,000	\$5,000	\$3,000	08-10-2004
CORP2003-1SA	3	\$10,000	\$10,000	\$5,000	08-10-2004
CORP2003-2LI	1	\$2,500	\$2,500	\$1,250	08-10-2004
CORP2003-3SA	2	\$7,500	\$7,500	\$3,750	08-10-2004
CORP2003-4FCS	1	\$2,500	\$2,500	\$1,250	08-10-2004
CORP2003-5TS	11	\$55,000	\$55,000	\$5,000	08-10-2004

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Federal Railroad Administration
Safety Cases Closed in FY 2004

Settlement/Final
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FRA Case No.	No.	Viol	POCA	PRCA	Amount	Date
CORP2003-6HMT		1	\$5,000	\$5,000	\$3,000	08-10-2004
CORP2003-7SA		2	\$7,500	\$7,500	\$3,750	08-10-2004
CORP2003-8SA		2	\$5,000	\$5,000	\$2,500	08-10-2004
CORP2003-9TS		4	\$20,000	\$0	\$0	08-10-2004
CORP2003-10FCS		2	\$7,500	\$7,500	\$3,750	08-10-2004
CORP2003-11SA		3	\$7,500	\$7,500	\$3,750	08-10-2004
CORP2003-12TS		6	\$26,000	\$26,000	\$3,000	08-10-2004
CORP2003-13RW		2	\$8,000	\$8,000	\$4,500	08-10-2004
CORP2004-1SA		1	\$5,000	\$5,000	\$2,500	08-10-2004
CORP2004-2SA		1	\$2,500	\$2,500	\$1,250	08-10-2004
CP2001-10AR		2	\$5,000	\$0	\$0	06-01-2004
CP2002-13LI		1	\$2,500	\$2,500	\$1,625	09-30-2004
CP2003-4HSR		3	\$3,000	\$3,000	\$2,500	09-30-2004
CP2003-5AR		1	\$2,500	\$2,500	\$1,500	09-30-2004
CP2003-6HS		2	\$2,000	\$2,000	\$1,800	09-30-2004
CP2003-8TS		2	\$2,000	\$1,000	\$900	09-30-2004
CP2003-9RSP		1	\$1,000	\$1,000	\$900	09-30-2004
CP2003-10SI		1	\$1,000	\$1,000	\$650	09-30-2004
CP2003-11SA		1	\$2,500	\$2,500	\$1,875	09-30-2004
CP2003-12SA		1	\$5,000	\$5,000	\$2,750	09-30-2004
CP2003-13RW		1	\$2,000	\$2,000	\$1,500	09-30-2004
CP2003-14SA		1	\$5,000	\$5,000	\$3,750	09-30-2004
CP2003-15SA		3	\$10,000	\$10,000	\$9,000	09-30-2004
CP2003-16FCS		1	\$2,500	\$2,500	\$1,875	09-30-2004
CP2003-17HS		3	\$3,000	\$3,000	\$2,250	09-30-2004
CP2003-18AR		1	\$2,500	\$2,500	\$2,250	09-30-2004
CP2003-19SA		1	\$2,500	\$2,500	\$2,250	09-30-2004
CP2003-20ROP		1	\$5,000	\$5,000	\$3,500	09-30-2004
CP2004-1SA		1	\$2,500	\$2,500	\$2,500	09-30-2004
CR2002-11SI		1	\$2,500	\$2,500	\$1,500	05-21-2004
CR2002-13LI		1	\$2,500	\$2,500	\$1,500	05-21-2004
CR2003-1LI		1	\$5,000	\$5,000	\$3,500	05-21-2004
CR2003-2HMT		1	\$1,000	\$1,000	\$700	05-21-2004
CR2003-3FCS		1	\$2,500	\$2,500	\$1,500	05-21-2004
CR2003-4FCS		2	\$10,000	\$10,000	\$6,750	05-21-2004
CR2003-5HMT		1	\$5,000	\$0	\$0	05-21-2004
CRL2002-1TS		4	\$9,500	\$9,500	\$7,125	01-06-2004
CRL2002-2RW		1	\$2,000	\$2,000	\$1,500	01-06-2004
CRSM2004-1LI		1	\$2,500	\$2,500	\$1,500	05-21-2004
CRSM2004-2SA		3	\$15,000	\$15,000	\$9,000	05-21-2004
CRSM2004-3LI		1	\$7,500	\$7,500	\$4,650	05-21-2004
CSX2002-98SI		1	\$2,500	\$2,500	\$1,500	09-30-2004
CSX2002-101LI		3	\$10,000	\$10,000	\$6,500	09-30-2004
CSX2002-149EQ		1	\$2,000	\$2,000	\$1,300	09-30-2004

CSX2002-152HMT	1	\$5,000	\$5,000	\$3,500	09-30-2004
CSX2003-35LI	7	\$23,000	\$23,000	\$13,400	09-30-2004
CSX2003-38LI	4	\$15,500	\$15,500	\$9,750	09-30-2004
CSX2003-43ROP	1	\$5,000	\$5,000	\$3,250	09-30-2004
CSX2003-48HMT	2	\$12,500	\$12,500	\$8,250	09-30-2004
CSX2003-49HS	1	\$1,000	\$1,000	\$650	09-30-2004
CSX2003-50AR	6	\$15,000	\$15,000	\$10,350	09-30-2004
CSX2003-51AD	2	\$4,500	\$4,500	\$3,200	09-30-2004

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FRA Case No.	No. Viol	Settlement/Final Assessment			Amount	Date
		POCA	PRCA			
CSX2003-52RSP	1	\$5,000	\$5,000	\$3,200	09-30-2004	
CSX2003-53LI	5	\$15,500	\$15,500	\$10,000	09-30-2004	
CSX2003-54SA	1	\$2,500	\$0	\$0	09-30-2004	
CSX2003-55FCS	1	\$6,000	\$6,000	\$4,200	09-30-2004	
CSX2003-56SI	3	\$3,000	\$3,000	\$1,950	09-30-2004	
CSX2003-57SA	6	\$22,500	\$22,500	\$14,700	09-30-2004	
CSX2003-58SA	6	\$25,000	\$25,000	\$16,400	09-30-2004	
CSX2003-59SA	7	\$20,000	\$20,000	\$13,250	09-30-2004	
CSX2003-60TS	3	\$7,500	\$7,500	\$5,000	09-30-2004	
CSX2003-61FCS	3	\$7,500	\$7,500	\$4,900	09-30-2004	
CSX2003-62ROP	1	\$5,000	\$5,000	\$3,425	09-30-2004	
CSX2003-63SI	1	\$2,500	\$2,500	\$1,600	09-30-2004	
CSX2003-64SA	5	\$45,500	\$45,500	\$17,750	09-30-2004	
CSX2003-65HMT	3	\$19,500	\$19,500	\$9,250	09-30-2004	
CSX2003-66RSP	1	\$2,500	\$1,000	\$650	09-30-2004	
CSX2003-67SA	2	\$5,000	\$5,000	\$3,225	09-30-2004	
CSX2003-68AR	5	\$12,500	\$12,500	\$5,600	09-30-2004	
CSX2003-69HS	10	\$10,000	\$10,000	\$6,500	09-30-2004	
CSX2003-70FCS	4	\$10,000	\$10,000	\$6,600	09-30-2004	
CSX2003-71FCS	10	\$31,500	\$31,500	\$21,000	09-30-2004	
CSX2003-72LI	1	\$2,500	\$2,500	\$1,600	09-30-2004	
CSX2003-73HS	3	\$3,000	\$3,000	\$1,940	09-30-2004	
CSX2003-74AR	1	\$2,500	\$2,500	\$1,550	09-30-2004	
CSX2003-75TS	9	\$45,000	\$4,500	\$29,400	09-30-2004	
CSX2003-76HMT	2	\$22,500	\$22,500	\$14,750	09-30-2004	
CSX2003-77SA	4	\$12,500	\$12,500	\$8,400	09-30-2004	
CSX2003-78SA	4	\$12,500	\$12,500	\$8,400	09-30-2004	
CSX2003-79LI	9	\$26,000	\$26,000	\$14,750	09-30-2004	
CSX2003-80FCS	5	\$12,500	\$12,500	\$8,100	09-30-2004	
CSX2003-81HMT	1	\$2,500	\$2,500	\$1,850	09-30-2004	
CSX2003-82FCS	1	\$11,000	\$11,000	\$7,700	09-30-2004	
CSX2003-83HMT	2	\$7,000	\$7,000	\$4,750	09-30-2004	
CSX2003-84HMT	1	\$2,500	\$2,500	\$1,650	09-30-2004	
CSX2003-85TS	6	\$17,000	\$17,000	\$11,150	09-30-2004	
CSX2003-86SA	1	\$2,500	\$2,500	\$1,600	09-30-2004	
CSX2003-87FCS	3	\$7,500	\$7,500	\$4,750	09-30-2004	
CSX2003-88RSP	1	\$1,000	\$1,000	\$650	09-30-2004	
CSX2003-89HMT	3	\$8,000	\$8,000	\$5,400	09-30-2004	
CSX2003-90SI	1	\$5,000	\$5,000	\$3,150	09-30-2004	
CSX2003-91AD	1	\$5,000	\$5,000	\$3,250	09-30-2004	
CSX2003-92TS	1	\$2,500	\$2,500	\$1,650	09-30-2004	
CSX2003-93FCS	2	\$5,000	\$5,000	\$3,300	09-30-2004	
CSX2003-94AR	1	\$2,500	\$2,500	\$1,500	09-30-2004	

CSX2003-95FCS	1	\$2,500	\$2,500	\$1,600	09-30-2004
CSX2003-96SA	5	\$22,500	\$22,500	\$14,500	09-30-2004
CSX2003-97AR	10	\$25,000	\$25,000	\$25,000	03-05-2004
CSX2003-98AR	10	\$25,000	\$25,000	\$25,000	03-05-2004
CSX2003-99AR	4	\$10,000	\$10,000	\$10,000	03-05-2004
CSX2003-100LI	3	\$7,000	\$7,000	\$4,500	09-30-2004
CSX2003-101LI	1	\$7,500	\$7,500	\$4,850	09-30-2004
CSX2003-102FCS	1	\$2,500	\$2,500	\$1,500	09-30-2004
CSX2003-103AR	1	\$2,500	\$2,500	\$1,600	09-30-2004

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FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
CSX2003-104TS	1	\$5,000	\$5,000	\$3,250 09-30-2004
CSX2003-105LI	11	\$18,500	\$18,500	\$12,000 09-30-2004
CSX2003-106HSR	1	\$1,000	\$1,000	\$600 09-30-2004
CSX2003-107FCS	1	\$2,500	\$2,500	\$1,600 09-30-2004
CSX2003-108HS	2	\$2,000	\$2,000	\$1,300 09-30-2004
CSX2003-109HMT	2	\$11,500	\$11,500	\$7,500 09-30-2004
CSX2003-110SA	1	\$2,500	\$2,500	\$1,625 09-30-2004
CSX2003-111TS	2	\$10,000	\$10,000	\$6,600 09-30-2004
CSX2003-112TS	2	\$7,000	\$7,000	\$3,650 09-30-2004
CSX2003-113SA	1	\$5,000	\$5,000	\$3,250 09-30-2004
CSX2003-114LI	1	\$2,500	\$2,500	\$1,600 09-30-2004
CSX2003-115HMT	1	\$7,500	\$7,500	\$4,750 09-30-2004
CSX2003-116SA	1	\$5,000	\$5,000	\$3,250 09-30-2004
CSX2003-117SI	8	\$8,000	\$8,000	\$4,700 09-30-2004
CSX2003-118FCS	3	\$10,000	\$10,000	\$6,350 09-30-2004
CSX2003-119SI	8	\$8,000	\$8,000	\$5,200 09-30-2004
CSX2003-121HMT	4	\$15,500	\$15,500	\$9,800 09-30-2004
CSX2003-122FCS	1	\$2,500	\$2,500	\$1,600 09-30-2004
CSX2003-123HMT	3	\$16,500	\$16,500	\$7,500 09-30-2004
CSX2003-124FCS	5	\$17,500	\$17,500	\$11,500 09-30-2004
CSX2003-125FCS	2	\$7,500	\$7,500	\$5,000 09-30-2004
CSX2003-126HMT	1	\$1,000	\$1,000	\$700 09-30-2004
CSX2003-127FCS	1	\$5,000	\$5,000	\$3,200 09-30-2004
CSX2003-128SA	4	\$15,000	\$15,000	\$9,600 09-30-2004
CSX2003-129RSP	2	\$2,000	\$2,000	\$1,300 09-30-2004
CSX2003-130HMT	1	\$5,000	\$5,000	\$3,600 09-30-2004
CSX2003-131SA	4	\$15,000	\$15,000	\$9,900 09-30-2004
CSX2003-132TS	10	\$25,000	\$25,000	\$10,000 09-30-2004
CSX2003-133LI	1	\$4,500	\$4,500	\$3,000 09-30-2004
CSX2003-134RSP	6	\$6,000	\$6,000	\$3,800 09-30-2004
CSX2003-135FCS	1	\$2,500	\$2,500	\$1,650 09-30-2004
CSX2003-136FCS	2	\$5,000	\$5,000	\$3,300 09-30-2004
CSX2003-137SA	4	\$12,500	\$12,500	\$8,250 09-30-2004
CSX2003-138LI	1	\$2,500	\$2,500	\$1,500 09-30-2004
CSX2003-139SA	1	\$2,500	\$2,500	\$1,650 09-30-2004
CSX2003-140FCS	3	\$7,500	\$7,500	\$4,500 09-30-2004
CSX2003-141HMT	9	\$41,000	\$41,000	\$10,500 09-30-2004
CSX2003-143SA	3	\$12,500	\$12,500	\$8,000 09-30-2004
CSX2003-145HS	1	\$1,000	\$1,000	\$600 09-30-2004
CSX2003-146AR	1	\$2,500	\$2,500	\$1,550 09-30-2004
CSX2003-147GC	1	\$5,000	\$5,000	\$4,100 09-30-2004
CSX2003-148SI	12	\$30,000	\$30,000	\$1,600 09-30-2004

CSX2003-149FCS	4	\$10,000	\$10,000	\$6,300	09-30-2004
CSX2003-150HMT	1	\$5,000	\$5,000	\$3,500	09-30-2004
CSX2003-151HMT	3	\$22,500	\$22,500	\$14,000	09-30-2004
CSX2003-152HMT	2	\$11,500	\$11,500	\$7,700	09-30-2004
CSX2003-153HMT	10	\$50,000	\$50,000	\$33,000	09-30-2004
CSX2003-154AR	1	\$2,500	\$2,500	\$1,550	09-30-2004
CSX2003-155LI	2	\$6,000	\$6,000	\$3,850	09-30-2004
CSX2003-156SI	1	\$2,500	\$2,500	\$1,600	09-30-2004
CSX2003-158HMT	1	\$7,500	\$7,500	\$4,750	09-30-2004
CSX2003-159HMT	1	\$7,500	\$7,500	\$5,000	09-30-2004

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**Federal Railroad Administration
Safety Cases Closed in FY 2004**

FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
CSX2003-160HMT	3	\$19,000	\$7,500	\$4,750 09-30-2004
CSX2003-161EQ	1	\$2,000	\$2,000	\$1,500 09-30-2004
CSX2003-162FCS	2	\$5,000	\$5,000	\$3,300 09-30-2004
CSX2003-163HMT	1	\$5,000	\$5,000	\$3,750 09-30-2004
CSX2003-164HMT	3	\$23,000	\$23,000	\$16,500 09-30-2004
CSX2003-165HMT	1	\$4,000	\$4,000	\$3,000 09-30-2004
CSX2003-166HMT	1	\$6,000	\$6,000	\$4,250 09-30-2004
CSX2003-167AD	1	\$2,500	\$2,500	\$1,500 09-30-2004
CSX2003-168AD	2	\$2,000	\$2,000	\$1,250 09-30-2004
CSX2003-169AD	1	\$5,000	\$5,000	\$3,300 09-30-2004
CSX2003-170SA	3	\$7,500	\$7,500	\$5,000 09-30-2004
CSX2003-171RW	1	\$5,000	\$5,000	\$3,150 09-30-2004
CSX2003-172LI	1	\$6,500	\$6,500	\$3,850 09-30-2004
CSX2003-173HS	2	\$2,000	\$2,000	\$1,300 09-30-2004
CSX2003-174AR	2	\$5,000	\$5,000	\$5,000 04-19-2004
CSX2003-175SA	2	\$7,500	\$7,500	\$4,875 09-30-2004
CSX2003-177LI	2	\$6,500	\$6,500	\$4,250 09-30-2004
CSX2003-178EQ	2	\$5,000	\$5,000	\$3,400 09-30-2004
CSX2003-179TS	2	\$10,000	\$10,000	\$6,600 09-30-2004
CSX2003-180GC	1	\$5,000	\$5,000	\$3,600 09-30-2004
CSX2003-181FCS	7	\$17,500	\$17,500	\$12,500 09-30-2004
CSX2003-182LI	3	\$10,000	\$10,000	\$6,500 09-30-2004
CSX2003-183LI	1	\$4,000	\$4,000	\$2,400 09-30-2004
CSX2003-184HS	3	\$3,000	\$3,000	\$1,950 09-30-2004
CSX2004-1SA	3	\$15,000	\$15,000	\$9,700 09-30-2004
CSX2004-2SA	3	\$10,000	\$10,000	\$6,500 09-30-2004
CSX2004-3SI	1	\$1,000	\$1,000	\$700 09-30-2004
CSX2004-4FCS	2	\$7,500	\$7,500	\$4,950 09-30-2004
CSX2004-5TS	1	\$5,000	\$5,000	\$3,100 09-30-2004
CSX2004-6HMT	1	\$5,000	\$5,000	\$3,900 09-30-2004
CSX2004-7LI	1	\$4,000	\$0	\$0 09-30-2004
CSX2004-8SA	1	\$2,500	\$2,500	\$1,600 09-30-2004
CSX2004-9FCS	3	\$7,500	\$7,500	\$4,700 09-30-2004
CSX2004-10HMT	2	\$10,000	\$10,000	\$6,500 09-30-2004
CSX2004-11SI	1	\$5,000	\$5,000	\$3,150 09-30-2004
CSX2004-12GC	1	\$5,000	\$5,000	\$3,500 09-30-2004
CSX2004-13HMT	1	\$6,000	\$6,000	\$4,000 09-30-2004
CSX2004-14TS	5	\$17,500	\$17,500	\$11,500 09-30-2004
CSX2004-15HMT	1	\$5,000	\$5,000	\$3,400 09-30-2004
CSX2004-16AR	2	\$5,000	\$5,000	\$3,200 09-30-2004
CSX2004-18LI	4	\$12,500	\$12,500	\$8,100 09-30-2004

CSX2004-19TS	2	\$5,000	\$5,000	\$3,150	09-30-2004
CSX2004-22SA	4	\$10,000	\$10,000	\$6,500	09-30-2004
CSX2004-23HMT	4	\$27,000	\$27,000	\$16,500	09-30-2004
CSX2004-24HMT	1	\$3,500	\$3,500	\$2,450	09-30-2004
CSX2004-26FCS	1	\$2,500	\$2,500	\$1,650	09-30-2004
CSX2004-27FCS	3	\$10,000	\$10,000	\$6,700	09-30-2004
CSX2004-28RSP	1	\$2,500	\$2,500	\$1,600	09-30-2004
CSX2004-29SA	8	\$22,500	\$22,500	\$14,000	09-30-2004
CSX2004-30SA	7	\$17,500	\$17,500	\$11,000	09-30-2004
CSX2004-31LI	3	\$7,500	\$7,500	\$4,800	09-30-2004
CSX2004-32ROP	1	\$5,000	\$5,000	\$2,750	09-30-2004

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**Settlement/Final
Assessment**

FRA Case No.	Viol	No.	POCA	PRCA	Amount Date	
CSX2004-33FCS	2	\$5,000	\$5,000	\$3,200	09-30-2004	
CSX2004-34AR	3	\$7,500	\$7,500	\$2,750	09-30-2004	
CSX2004-35HS	14	\$14,000	\$14,000	\$9,300	09-30-2004	
CSX2004-37FCS	5	\$12,500	\$12,500	\$8,800	09-30-2004	
CSX2004-38FCS	2	\$5,000	\$5,000	\$3,300	09-30-2004	
CSX2004-39HMT	1	\$5,000	\$5,000	\$3,900	09-30-2004	
CSX2004-40HMT	1	\$5,000	\$5,000	\$3,900	09-30-2004	
CSX2004-42HS	3	\$3,000	\$3,000	\$1,950	09-30-2004	
CSX2004-43HMT	1	\$5,000	\$5,000	\$3,500	09-30-2004	
CSX2004-44HS	2	\$2,000	\$2,000	\$1,300	09-30-2004	
CSX2004-45RW	1	\$1,000	\$1,000	\$650	09-30-2004	
CSX2004-46TS	2	\$5,000	\$5,000	\$3,150	09-30-2004	
CSX2004-47SA	5	\$17,500	\$17,500	\$11,250	09-30-2004	
CSX2004-49GC	4	\$4,000	\$4,000	\$2,350	09-30-2004	
CSX2004-50GC	5	\$9,000	\$9,000	\$6,000	09-30-2004	
CSX2004-51FCS	4	\$12,500	\$12,500	\$8,000	09-30-2004	
CSX2004-52LI	2	\$19,000	\$19,000	\$11,500	09-30-2004	
CSX2004-53RW	1	\$1,000	\$1,000	\$650	09-30-2004	
CSX2004-55RW	2	\$2,000	\$2,000	\$1,250	09-30-2004	
CSX2004-56TS	1	\$2,500	\$2,500	\$1,650	09-30-2004	
CSX2004-57SA	1	\$2,500	\$2,500	\$1,625	09-30-2004	
CSX2004-60AR	1	\$2,500	\$2,500	\$1,500	09-30-2004	
CSX2004-62FCS	1	\$2,500	\$2,500	\$1,700	09-30-2004	
CSX2004-63HSR	1	\$1,000	\$1,000	\$600	09-30-2004	
CSX2004-65FCS	2	\$5,000	\$5,000	\$3,200	09-30-2004	
CSX2004-67RW	2	\$2,000	\$2,000	\$1,250	09-30-2004	
CSX2004-68SA	9	\$30,000	\$30,000	\$19,500	09-30-2004	
CSX2004-70HMT	1	\$7,000	\$7,000	\$5,460	09-30-2004	
CSX2004-71HMT	1	\$6,000	\$6,000	\$4,000	09-30-2004	
CSX2004-72HMT	1	\$7,500	\$7,000	\$5,000	09-30-2004	
CSX2004-74AR	1	\$2,500	\$0	\$0	09-30-2004	
CSX2004-78GC	1	\$1,000	\$1,000	\$625	09-30-2004	
CSX2004-79SI	1	\$5,000	\$5,000	\$3,000	09-30-2004	
CSX2004-81AR	1	\$2,500	\$2,500	\$1,700	09-30-2004	
CSX2004-87FCS	1	\$2,500	\$2,500	\$1,600	09-30-2004	
CW2001-2HS	1	\$1,000	\$1,000	\$550	01-06-2004	
DH2004-1LI	1	\$2,500	\$2,500	\$2,000	09-30-2004	
DI2003-1TS	1	\$2,500	\$2,500	\$1,150	01-21-2004	
DMVW2003-2RW	2	\$6,000	\$6,000	\$6,000	03-31-2004	
DWP2002-1RW	30	\$76,500	\$76,500	\$46,050	09-30-2004	

EIRR2003-1HSR	1	\$1,000	\$1,000	\$600	05-06-2004
EJE2003-1FCS	1	\$5,000	\$5,000	\$3,000	04-05-2004
FCEN2003-1SA	1	\$2,500	\$2,500	\$1,625	12-17-2003
FEC2002-6LI	4	\$10,000	\$10,000	\$8,000	02-24-2004
FEC2002-7GC	1	\$5,000	\$5,000	\$3,500	02-24-2004
FEC2003-1HMT	1	\$2,000	\$2,000	\$1,500	02-24-2004
FEC2003-2TS	1	\$2,500	\$2,500	\$1,625	02-24-2004
FEC2003-3ROR	5	\$25,000	\$25,000	\$22,500	02-24-2004
FEC2003-4TS	5	\$25,000	\$25,000	\$15,000	02-24-2004
FSR1999-1GC	4	\$10,000	\$0	\$0	01-20-2004
FSR1999-2GC	1	\$7,500	\$0	\$0	01-20-2004
GCSR2002-1AR	1	\$2,500	\$2,500	\$1,625	01-30-2004

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FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
GCSR2002-2AR	1	\$2,500	\$2,500	\$1,625 01-30-2004
GCSR2002-3AR	1	\$2,500	\$2,500	\$1,625 01-30-2004
GCSR2003-1AR	1	\$2,500	\$2,500	\$1,625 01-30-2004
GSWR2003-1TS	1	\$2,500	\$2,500	\$1,625 11-20-2003
GSWR2003-2TS	3	\$6,000	\$6,000	\$3,900 11-20-2003
GTW2003-1TS	1	\$2,500	\$2,500	\$1,850 09-30-2004
HPTD2003-1LI	2	\$3,000	\$3,000	\$3,000 03-19-2004
IC2002-27GC	1	\$5,000	\$5,000	\$3,500 09-30-2004
IC2002-28SA	1	\$2,500	\$2,500	\$1,750 09-30-2004
IC2002-29AR	2	\$5,000	\$5,000	\$2,850 09-30-2004
IC2002-30FCS	1	\$2,500	\$2,500	\$1,750 09-30-2004
IC2002-31ROP	1	\$5,000	\$5,000	\$3,300 09-30-2004
IC2003-1SA	1	\$2,500	\$2,500	\$1,650 09-30-2004
IC2003-2SI	1	\$1,000	\$1,000	\$750 09-30-2004
IC2003-3TS	1	\$2,500	\$2,500	\$1,750 09-30-2004
IC2003-4SA	3	\$10,000	\$10,000	\$3,000 09-30-2004
IC2003-5SA	2	\$10,000	\$10,000	\$7,000 09-30-2004
IC2003-6FCS	3	\$12,500	\$12,500	\$8,850 09-30-2004
IC2003-7TS	9	\$40,000	\$40,000	\$26,800 09-30-2004
IC2003-8FCS	1	\$2,500	\$2,500	\$1,750 09-30-2004
IC2003-9RW	1	\$3,000	\$3,000	\$2,250 09-30-2004
IC2003-10AR	1	\$2,500	\$2,500	\$1,650 09-30-2004
IC2003-11LI	2	\$10,500	\$10,500	\$7,300 09-30-2004
IC2003-12SA	1	\$2,500	\$2,500	\$1,750 09-30-2004
IC2003-14AR	3	\$7,500	\$7,500	\$3,950 09-30-2004
IC2003-15SA	1	\$5,000	\$5,000	\$3,600 09-30-2004
IC2003-16GC	1	\$5,000	\$5,000	\$3,600 09-30-2004
IC2003-17FCS	1	\$2,500	\$2,500	\$1,750 09-30-2004
IC2003-18FCS	1	\$2,000	\$2,000	\$1,500 09-30-2004
IC2003-19FCS	4	\$15,000	\$15,000	\$9,400 09-30-2004
IC2003-20HMT	1	\$7,500	\$7,500	\$4,750 09-30-2004
IC2003-21LI	2	\$2,000	\$2,000	\$1,300 09-30-2004
IC2003-22SA	1	\$2,500	\$2,500	\$1,750 09-30-2004
ICE2003-1SA	1	\$5,000	\$5,000	\$5,000 04-05-2004
ICE2003-2HSR	1	\$1,000	\$1,000	\$750 04-06-2004
IORY2002-6SI	1	\$1,000	\$1,000	\$600 07-06-2004
IORY2003-1LI	1	\$5,000	\$0	\$0 03-08-2004
IORY2003-3LI	3	\$7,500	\$7,500	\$4,500 07-06-2004
ISRR2004-1FCS	1	\$2,500	\$2,500	\$1,500 07-06-2004

ISSR2003-1LI	1	\$2,500	\$2,500	\$2,500	01-24-2004
KBSR2002-1LI	1	\$3,500	\$3,500	\$2,250	02-11-2004
KCS2002-45SA	1	\$2,500	\$2,500	\$1,550	09-29-2004
KCS2003-1FCS	15	\$30,000	\$30,000	\$18,600	09-29-2004
KCS2003-2SA	4	\$25,000	\$25,000	\$15,475	09-29-2004
KCS2003-3LI	3	\$30,000	\$30,000	\$16,900	09-29-2004
KCS2003-4SA	1	\$5,000	\$5,000	\$2,500	09-29-2004
KCS2003-5EQ	2	\$10,500	\$10,500	\$7,750	09-29-2004
KCS2003-6LI	2	\$7,500	\$7,500	\$4,650	09-29-2004
KCS2003-7LI	2	\$10,000	\$10,000	\$6,275	09-29-2004
KCS2003-8TS	5	\$12,500	\$12,500	\$9,375	09-29-2004
KCS2003-9HMT	1	\$4,000	\$4,000	\$2,800	09-29-2004
KCS2003-10LI	7	\$41,000	\$41,000	\$25,145	09-29-2004

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**Federal Railroad Administration
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FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
KCS2003-11FCS	1	\$5,000	\$5,000	\$3,100 09-29-2004
KCS2003-12SA	3	\$7,500	\$7,500	\$3,725 09-29-2004
KCS2003-13SA	3	\$10,000	\$10,000	\$6,325 09-29-2004
KCS2003-15HS	3	\$3,000	\$3,000	\$1,980 09-29-2004
KCS2003-16LI	2	\$9,000	\$9,000	\$5,175 09-29-2004
KCS2003-17LI	1	\$5,000	\$5,000	\$2,625 09-29-2004
KCS2003-18AD	1	\$2,000	\$2,000	\$1,500 09-29-2004
KCS2003-19LI	2	\$5,500	\$5,500	\$3,425 09-29-2004
KCS2003-20SA	2	\$7,500	\$7,500	\$4,650 09-29-2004
KCS2003-21SA	4	\$17,500	\$17,500	\$10,850 09-29-2004
KCS2003-22FCS	1	\$2,500	\$2,500	\$1,550 09-29-2004
KCS2003-23SA	1	\$2,500	\$2,500	\$1,625 09-29-2004
KCS2003-24SA	1	\$2,500	\$2,500	\$1,550 09-29-2004
KCS2003-25FCS	1	\$1,000	\$1,000	\$650 09-29-2004
KCS2003-26HSR	3	\$3,000	\$3,000	\$2,160 09-29-2004
KCS2003-27HS	3	\$3,000	\$3,000	\$1,980 09-29-2004
KCS2003-28HMT	1	\$7,500	\$5,000	\$3,750 09-29-2004
KCS2003-29FCS	1	\$1,000	\$1,000	\$620 09-29-2004
KCS2003-30FCS	1	\$2,500	\$0	\$0 09-29-2004
KCS2003-32SA	2	\$5,000	\$5,000	\$3,000 09-29-2004
KCS2003-33SA	1	\$2,500	\$2,500	\$1,625 09-29-2004
KCS2003-34TS	1	\$1,000	\$1,000	\$780 09-29-2004
KCS2003-35TS	4	\$12,500	\$12,500	\$9,375 09-29-2004
KCS2003-36LI	1	\$2,500	\$2,500	\$1,550 09-29-2004
KCS2003-37LI	7	\$39,000	\$39,000	\$23,580 09-29-2004
KCS2003-38EQ	1	\$5,000	\$5,000	\$3,750 09-29-2004
KCS2003-39LI	11	\$27,500	\$27,500	\$15,175 09-29-2004
KCS2003-40LI	14	\$41,000	\$41,000	\$24,045 09-29-2004
KCS2003-43TS	1	\$2,500	\$2,500	\$2,000 09-29-2004
KCS2003-44HMT	8	\$40,000	\$37,000	\$20,650 09-29-2004
KCS2003-45RW	1	\$1,000	\$1,000	\$750 09-29-2004
KCS2003-46SA	2	\$5,000	\$5,000	\$3,175 09-29-2004
KCS2003-47LI	1	\$5,000	\$5,000	\$2,245 09-29-2004
KCS2003-48LI	5	\$31,000	\$31,000	\$15,725 09-29-2004
KCS2003-49SA	6	\$17,500	\$17,500	\$10,775 09-29-2004
KCS2003-50SA	5	\$17,500	\$17,500	\$10,300 09-29-2004
KCS2003-51HMT	10	\$32,500	\$32,500	\$16,250 09-29-2004
KCS2003-53RSP	1	\$5,000	\$5,000	\$3,750 09-29-2004

KCS2003-54RSP	3	\$7,000	\$7,000	\$5,250	09-29-2004
KCS2003-55AR	1	\$2,500	\$2,500	\$1,800	09-29-2004
KCS2003-56FCS	1	\$2,500	\$2,500	\$1,550	09-29-2004
KCS2003-57LI	1	\$10,000	\$10,000	\$6,500	09-29-2004
KCS2003-58FCS	4	\$10,000	\$10,000	\$6,350	09-29-2004
KCS2003-59HMT	1	\$6,000	\$6,000	\$1,000	09-29-2004
KCS2003-60LI	11	\$27,500	\$27,500	\$13,500	09-29-2004
KCS2003-61LI	14	\$35,000	\$35,000	\$19,625	09-29-2004
KCS2003-62SA	1	\$2,500	\$2,500	\$1,550	09-29-2004
KCS2003-63FCS	2	\$5,000	\$5,000	\$2,500	09-29-2004
KCS2003-65HMT	2	\$10,000	\$10,000	\$8,000	09-29-2004
KCS2003-66SA	3	\$7,500	\$7,500	\$4,875	09-29-2004
KCS2003-67SA	1	\$2,500	\$2,500	\$1,375	09-29-2004
KCS2003-68FCS	3	\$7,500	\$7,500	\$4,650	09-29-2004

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FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
KCS2003-69SA	1	\$5,000	\$5,000	\$3,100 09-29-2004
KCS2004-1SA	4	\$12,500	\$12,500	\$5,200 09-29-2004
KCS2004-2FCS	1	\$5,000	\$5,000	\$3,000 09-29-2004
KCS2004-3TS	1	\$5,000	\$5,000	\$3,750 09-29-2004
KCS2004-4FCS	1	\$5,000	\$5,000	\$3,250 09-29-2004
KCS2004-6TS	2	\$9,500	\$9,500	\$7,200 09-29-2004
KO2002-1GC	1	\$2,500	\$2,500	\$1,500 05-06-2004
KO2003-1GC	1	\$5,000	\$5,000	\$3,000 05-06-2004
KO2003-2TS	1	\$2,500	\$2,500	\$1,625 05-06-2004
KO2003-3LI	2	\$5,000	\$5,000	\$3,000 05-06-2004
KO2003-4GC	1	\$2,500	\$2,500	\$1,500 05-06-2004
KRT2002-1FCS	1	\$2,500	\$2,500	\$2,500 01-14-2004
KRT2004-1FCS	1	\$2,500	\$2,500	\$2,500 09-01-2004
KYLE2002-1AD	1	\$5,000	\$5,000	\$2,700 01-21-2004
LCR2003-1LI	1	\$7,500	\$7,500	\$4,500 09-01-2004
LI2001-2LI	13	\$32,500	\$32,500	\$20,429 11-12-2003
LI2001-3LI	6	\$15,000	\$15,000	\$9,429 11-12-2003
LI2001-3ROP	3	\$12,000	\$12,000	\$7,800 04-19-2004
LI2001-4LI	1	\$2,500	\$2,500	\$1,571 11-12-2003
LI2001-5SA	1	\$2,500	\$2,500	\$2,500 01-05-2004
LI2001-6LI	1	\$2,500	\$2,500	\$1,571 11-12-2003
LI2001-7LI	14	\$35,000	\$35,000	\$22,000 11-12-2003
LI2002-1ROP	1	\$5,000	\$5,000	\$3,250 04-19-2004
LI2003-1TS	3	\$6,000	\$0	\$0 10-08-2003
LI2003-7AR	1	\$2,500	\$0	\$0 02-10-2004
LIRC2002-1LI	1	\$10,000	\$10,000	\$7,500 01-20-2004
LNS2003-1LI	1	\$2,500	\$2,500	\$2,500 09-01-2004
LS2002-1RSP	1	\$5,000	\$0	\$0 02-11-2004
LVRR2003-1AD	1	\$5,000	\$0	\$0 07-06-2004
MAW2000-1TS	6	\$30,000	\$30,000	\$15,000 08-23-2004
MBRR2002-1TS	1	\$5,000	\$5,000	\$2,500 11-03-2003
MBRR2002-2TS	2	\$7,500	\$7,500	\$3,750 11-03-2003
MBRR2002-3TS	1	\$2,500	\$2,500	\$1,250 11-03-2003
MJ2002-1EQ	1	\$5,000	\$5,000	\$3,250 01-28-2004
MNA2002-1TS	1	\$2,500	\$2,500	\$1,500 01-23-2004
MNCW2001-3ROP	2	\$10,000	\$10,000	\$6,500 11-13-2003
MNCW2003-2ROP	2	\$10,000	\$10,000	\$7,000 11-13-2003

MNCW2003-3ROP	1	\$5,000	\$5,000	\$3,500	11-13-2003
MNCW2003-4RW	1	\$3,000	\$3,000	\$2,000	07-21-2004
MNCW2003-5PEQ	3	\$12,500	\$12,500	\$9,000	07-21-2004
MNCW2003-6PEQ	2	\$5,000	\$5,000	\$4,000	07-21-2004
MNCW2004-1SI	2	\$6,000	\$6,000	\$3,600	07-21-2004
MNCW2004-2PEQ	1	\$5,000	\$5,000	\$3,000	07-21-2004
MRSR2002-1ROP	1	\$5,000	\$5,000	\$3,500	01-30-2004
NHIR2003-1GC	1	\$2,500	\$2,500	\$1,250	01-23-2004
NWP2001-1AR	1	\$2,500	\$0	\$0	04-27-2004
NWP2001-2TS	3	\$3,000	\$0	\$0	04-27-2004
NWP2001-3SI	1	\$2,500	\$0	\$0	04-27-2004
NWP2001-3AD	1	\$3,500	\$0	\$0	04-27-2004
NWP2002-1GC	1	\$5,000	\$0	\$0	04-27-2004
NWP2002-2SI	2	\$22,000	\$0	\$0	04-27-2004
OHCR2001-1LI	1	\$2,500	\$2,500	\$1,000	06-01-2004

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FRA Case No.	No. Viol	POCA	PRCA	Amount	Date
PCC2001-1TS	9	\$20,500	\$20,500	\$15,000	05-06-2004
PCC2002-1TS	1	\$5,000	\$5,000	\$3,250	05-06-2004
PCC2002-2TS	2	\$5,000	\$5,000	\$3,000	05-06-2004
PCC2002-3TS	4	\$10,000	\$10,000	\$6,000	05-06-2004
PCC2003-1TS	6	\$22,500	\$22,500	\$13,500	05-06-2004
PCC2003-2HSR	1	\$1,000	\$1,000	\$630	05-06-2004
PCC2003-3HS	1	\$1,000	\$1,000	\$630	05-06-2004
PCC2003-4TS	6	\$10,000	\$10,000	\$6,000	05-06-2004
PCC2003-5SA	1	\$2,500	\$2,500	\$1,300	05-06-2004
PCC2003-6SA	1	\$5,000	\$5,000	\$3,150	05-06-2004
PCC2003-7HMT	1	\$1,500	\$1,500	\$975	05-06-2004
PHL2003-2SI	2	\$2,000	\$2,000	\$2,000	01-12-2004
RARW2001-1TS	1	\$1,000	\$1,000	\$650	11-11-2003
RJCL2000-1FCS	1	\$2,500	\$2,500	\$1,000	02-11-2004
RJCM2001-1LI	2	\$17,500	\$17,500	\$8,750	02-11-2004
RJCR2001-1FCS	7	\$17,500	\$17,500	\$4,000	02-11-2004
RRRR2000-1RW	1	\$10,000	\$10,000	\$5,000	03-31-2004
RRRR2001-1EQ	2	\$7,500	\$7,000	\$3,750	03-31-2004
RRRR2002-1EQ	1	\$5,000	\$5,000	\$2,500	03-31-2004
RRRR2002-2LI	1	\$3,500	\$3,500	\$1,750	03-31-2004
RRRR2003-1ROR	2	\$5,000	\$5,000	\$2,500	03-31-2004
RRRR2003-2TS	1	\$5,000	\$5,000	\$2,500	03-31-2004
RSIX2000-1AD	1	\$2,000	\$0	\$0	02-12-2004
RSIX2003-1TS	4	\$4,000	\$4,000	\$2,600	04-26-2004
SCAX2003-1GC	1	\$5,000	\$5,000	\$3,750	10-17-2003
SCRF2002-1RW	1	\$2,000	\$2,000	\$2,000	01-02-2004
SCRT2003-3GC	1	\$5,000	\$5,000	\$5,000	12-30-2003
SEPA2003-6HS	3	\$3,000	\$3,000	\$1,600	12-17-2003
SEPA2003-7HS	2	\$2,000	\$2,000	\$1,500	12-01-2003
SEPA2003-8HSR	1	\$1,000	\$1,000	\$750	12-01-2003
SEPA2004-1REM	1	\$5,000	\$5,000	\$3,500	04-08-2004
SEPA2004-2LI	1	\$5,000	\$5,000	\$3,600	06-18-2004
SFS2002-1TS	2	\$7,500	\$7,500	\$4,000	04-22-2004
SJVR2002-3TS	1	\$7,000	\$7,000	\$4,800	01-23-2004
SJVR2003-1TS	2	\$6,000	\$6,000	\$3,600	01-23-2004
SKOL2002-1GC	2	\$10,000	\$10,000	\$6,000	05-06-2004

SKOL2003-1SA	6	\$30,000	\$30,000	\$18,000	05-06-2004
SKOL2003-2AR	1	\$2,500	\$2,500	\$1,500	05-06-2004
SKOL2003-3SA	1	\$10,000	\$10,000	\$6,000	05-06-2004
SKOL2003-4REM	1	\$5,000	\$5,000	\$3,000	05-06-2004
SKOL2003-5SI	1	\$1,000	\$1,000	\$600	05-06-2004
SKOL2003-6GC	1	\$1,000	\$1,000	\$600	05-06-2004
SKOL2003-7TS	2	\$7,500	\$7,500	\$4,500	05-06-2004
SKOL2003-8TS	1	\$1,000	\$1,000	\$600	05-06-2004
SKOL2003-9LI	2	\$5,000	\$5,000	\$3,000	05-06-2004
SKOL2003-10TS	1	\$2,000	\$2,000	\$1,200	05-06-2004
SKOL2003-11HMT	2	\$8,500	\$8,500	\$5,950	05-06-2004
SKOL2003-12ROP	1	\$5,000	\$5,000	\$3,000	05-06-2004
SKOL2003-13HMT	2	\$15,000	\$15,000	\$9,000	05-06-2004
SKOL2003-14SA	1	\$5,000	\$5,000	\$3,250	05-06-2004
SLRS2003-1HMT	2	\$5,000	\$5,000	\$4,000	09-28-2004
SMV2004-1TS	1	\$1,000	\$1,000	\$625	08-31-2004

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Safety Cases Closed in FY 2004

FRA Case No.	No.	Settlement/Final Assessment		
		Viol	POCA	PRCA
SOU2002-138AD	2	\$6,000	\$6,000	\$3,800 09-29-2004
SOU2002-157HMT	6	\$37,500	\$37,500	\$24,500 09-29-2004
SOU2002-158FCS	1	\$2,500	\$2,500	\$1,500 09-29-2004
SOU2003-3AD	6	\$24,000	\$19,000	\$12,000 09-29-2004
SOU2003-6AD	8	\$19,000	\$19,000	\$12,375 09-29-2004
SOU2003-7AR	7	\$16,000	\$11,000	\$7,125 09-29-2004
SOU2003-17LI	3	\$5,500	\$5,500	\$3,400 09-29-2004
SOU2003-27AR	2	\$5,000	\$2,500	\$1,600 09-29-2004
SOU2003-32FCS	7	\$17,500	\$17,500	\$12,275 09-29-2004
SOU2003-34AD	8	\$19,000	\$19,000	\$11,700 09-29-2004
SOU2003-35AD	7	\$16,500	\$15,500	\$10,100 09-29-2004
SOU2003-36AD	8	\$34,000	\$34,000	\$15,200 09-29-2004
SOU2003-39SI	1	\$5,000	\$5,000	\$4,000 09-29-2004
SOU2003-41TS	2	\$6,000	\$6,000	\$4,200 09-29-2004
SOU2003-42SA	6	\$17,500	\$17,500	\$12,350 09-29-2004
SOU2003-43SA	6	\$15,000	\$15,000	\$10,475 09-29-2004
SOU2003-44REM	1	\$5,000	\$5,000	\$3,250 09-29-2004
SOU2003-45SA	1	\$2,500	\$2,500	\$2,000 09-29-2004
SOU2003-46HS	1	\$1,000	\$1,000	\$750 09-29-2004
SOU2003-47LI	12	\$37,500	\$37,500	\$22,450 09-29-2004
SOU2003-48LI	2	\$11,000	\$11,000	\$8,100 09-29-2004
SOU2003-49FCS	1	\$2,500	\$2,500	\$1,750 09-29-2004
SOU2003-50LI	5	\$31,500	\$31,500	\$22,000 09-29-2004
SOU2003-51HMT	1	\$7,500	\$7,500	\$4,850 09-29-2004
SOU2003-52FCS	4	\$10,000	\$10,000	\$7,000 09-29-2004
SOU2003-53HMT	4	\$14,000	\$14,000	\$8,500 09-29-2004
SOU2003-54FCS	1	\$2,500	\$2,500	\$1,500 09-29-2004
SOU2003-55HSR	2	\$2,000	\$2,000	\$1,400 09-29-2004
SOU2003-58AR	5	\$11,000	\$10,000	\$6,200 09-29-2004
SOU2003-59ROP	1	\$5,000	\$5,000	\$3,000 09-29-2004
SOU2003-60HSR	1	\$1,000	\$1,000	\$700 09-29-2004
SOU2003-62EQ	1	\$1,000	\$1,000	\$700 09-29-2004
SOU2003-63SA	1	\$2,500	\$2,500	\$1,750 09-29-2004
SOU2003-65LI	9	\$38,500	\$38,500	\$26,875 09-29-2004
SOU2003-67SA	1	\$2,500	\$2,500	\$1,675 09-29-2004

SOU2003-68SA	2	\$5,000	\$5,000	\$3,475	09-29-2004
SOU2003-69LI	10	\$32,500	\$32,500	\$21,825	09-29-2004
SOU2003-70SA	5	\$12,500	\$12,500	\$8,425	09-29-2004
SOU2003-71SA	5	\$15,000	\$15,000	\$10,300	09-29-2004
SOU2003-72SA	6	\$15,000	\$15,000	\$10,150	09-29-2004
SOU2003-73SA	5	\$15,000	\$15,000	\$10,100	09-29-2004
SOU2003-75FCS	1	\$2,500	\$2,500	\$1,750	09-29-2004
SOU2003-76ROP	1	\$5,000	\$5,000	\$3,600	09-29-2004
SOU2003-77FCS	2	\$4,000	\$4,000	\$3,000	09-29-2004
SOU2003-78HMT	1	\$2,500	\$2,500	\$1,500	09-29-2004
SOU2003-79FCS	5	\$15,000	\$15,000	\$10,250	09-29-2004
SOU2003-80SA	2	\$7,500	\$7,500	\$4,875	09-29-2004
SOU2003-81FCS	8	\$22,500	\$22,500	\$15,800	09-29-2004
SOU2003-82SA	1	\$5,000	\$5,000	\$3,500	09-29-2004
SOU2003-83HS	3	\$3,000	\$3,000	\$2,250	09-29-2004
SOU2003-85RSP	1	\$5,000	\$5,000	\$3,000	09-29-2004
SOU2003-86SA	1	\$5,000	\$5,000	\$3,500	09-29-2004

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Safety Cases Closed in FY 2004

FRA Case No.	No.	Settlement/Final Assessment			
		Viol	POCA	PRCA	Amount
SOU2003-87SA	3	\$10,000	\$10,000	\$7,000	09-29-2004
SOU2003-88HSR	2	\$2,000	\$2,000	\$1,300	09-29-2004
SOU2003-89HS	3	\$3,000	\$3,000	\$1,350	09-29-2004
SOU2003-90AR	1	\$2,500	\$2,500	\$1,700	09-29-2004
SOU2003-91AD	1	\$5,000	\$5,000	\$3,500	09-29-2004
SOU2003-92SA	4	\$17,500	\$17,500	\$12,250	09-29-2004
SOU2003-93LI	5	\$35,500	\$35,500	\$21,550	09-29-2004
SOU2003-94HMT	2	\$6,000	\$6,000	\$3,750	09-29-2004
SOU2003-95FCS	2	\$5,000	\$5,000	\$3,400	09-29-2004
SOU2003-96SA	1	\$2,500	\$2,500	\$1,750	09-29-2004
SOU2003-97SA	3	\$7,500	\$7,500	\$5,250	09-29-2004
SOU2003-99FCS	3	\$10,000	\$10,000	\$6,900	09-29-2004
SOU2003-100ROP	1	\$5,000	\$5,000	\$3,750	09-29-2004
SOU2003-101HS	1	\$1,000	\$1,000	\$750	09-29-2004
SOU2003-102FCS	1	\$2,500	\$2,500	\$1,750	09-29-2004
SOU2003-103HS	4	\$4,000	\$4,000	\$2,500	09-29-2004
SOU2003-104HS	5	\$5,000	\$5,000	\$3,000	09-29-2004
SOU2003-105HS	2	\$2,000	\$2,000	\$1,200	09-29-2004
SOU2003-106HS	8	\$8,000	\$8,000	\$4,800	09-29-2004
SOU2003-108HS	2	\$2,000	\$2,000	\$1,200	09-29-2004
SOU2003-109HS	3	\$3,000	\$3,000	\$1,800	09-29-2004
SOU2003-110HS	9	\$9,000	\$9,000	\$5,400	09-29-2004
SOU2003-111HS	5	\$5,000	\$5,000	\$3,000	09-29-2004
SOU2003-112HS	6	\$6,000	\$6,000	\$3,600	09-29-2004
SOU2003-113HS	7	\$7,000	\$7,000	\$4,200	09-29-2004
SOU2003-114HS	1	\$1,000	\$1,000	\$600	09-29-2004
SOU2003-116AR	1	\$2,500	\$2,500	\$1,750	09-29-2004
SOU2003-117HS	1	\$1,000	\$1,000	\$700	09-29-2004
SOU2003-119HSR	1	\$1,000	\$1,000	\$650	09-29-2004
SOU2003-120AR	1	\$2,500	\$2,500	\$1,650	09-29-2004
SOU2003-122HSR	16	\$16,000	\$16,000	\$8,000	09-29-2004
SOU2003-123LI	11	\$27,500	\$27,500	\$18,700	09-29-2004
SOU2003-125LI	1	\$2,500	\$2,500	\$1,750	09-29-2004
SOU2003-126FCS	3	\$7,500	\$7,500	\$5,200	09-29-2004

SOU2003-127LI	10	\$25,000	\$25,000	\$18,000	09-29-2004
SOU2003-128LI	10	\$25,000	\$25,000	\$18,500	09-29-2004
SOU2003-129FCS	1	\$2,500	\$2,500	\$1,800	09-29-2004
SOU2003-130SA	2	\$7,500	\$7,500	\$5,175	09-29-2004
SOU2003-131SA	2	\$5,000	\$5,000	\$3,550	09-29-2004
SOU2003-132TS	1	\$5,000	\$5,000	\$3,750	09-29-2004
SOU2003-133FCS	1	\$2,500	\$2,500	\$1,750	09-29-2004
SOU2003-134SA	1	\$2,500	\$2,500	\$1,725	09-29-2004
SOU2003-135HSR	8	\$8,000	\$8,000	\$4,000	09-29-2004
SOU2003-136FCS	1	\$5,000	\$5,000	\$3,600	09-29-2004
SOU2003-137FCS	1	\$2,500	\$2,500	\$1,750	09-29-2004
SOU2003-138ROR	1	\$2,500	\$2,500	\$1,750	09-29-2004
SOU2003-139FCS	2	\$5,000	\$5,000	\$3,500	09-29-2004
SOU2003-141HMT	1	\$5,000	\$2,500	\$1,500	09-29-2004
SOU2003-142HMT	2	\$10,000	\$10,000	\$6,550	09-29-2004
SOU2003-143SA	4	\$15,000	\$15,000	\$10,625	09-29-2004
SOU2003-144LI	1	\$3,500	\$3,500	\$2,425	09-29-2004
SOU2003-145SA	3	\$7,500	\$7,500	\$5,100	09-29-2004

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FRA Case No.	Viol	Settlement/Final Assessment		
		No.	POCA	PRCA
SOU2003-146HMT	2	\$5,000	\$5,000	\$3,050 09-29-2004
SOU2003-147FCS	1	\$2,500	\$2,500	\$1,800 09-29-2004
SOU2003-148FCS	1	\$2,500	\$2,500	\$1,750 09-29-2004
SOU2003-149SA	4	\$10,000	\$10,000	\$6,500 09-29-2004
SOU2003-150SA	4	\$10,000	\$10,000	\$6,500 09-29-2004
SOU2003-151SA	4	\$10,000	\$10,000	\$6,500 09-29-2004
SOU2003-152SA	4	\$10,000	\$10,000	\$6,500 09-29-2004
SOU2003-153SA	6	\$15,000	\$15,000	\$9,750 09-29-2004
SOU2003-154SA	6	\$15,000	\$15,000	\$9,750 09-29-2004
SOU2003-155SA	6	\$15,000	\$15,000	\$9,750 09-29-2004
SOU2003-156ROP	1	\$2,000	\$2,000	\$1,300 09-29-2004
SOU2003-157SI	4	\$10,000	\$10,000	\$6,600 09-29-2004
SOU2003-158GC	2	\$10,000	\$10,000	\$7,250 09-29-2004
SOU2003-159FCS	3	\$10,000	\$10,000	\$7,100 09-29-2004
SOU2003-160SA	2	\$7,500	\$7,500	\$5,250 09-29-2004
SOU2003-161AR	1	\$2,500	\$2,500	\$1,875 09-29-2004
SOU2003-162HSR	16	\$16,000	\$16,000	\$8,000 09-29-2004
SOU2003-163TS	3	\$12,500	\$12,500	\$7,700 09-29-2004
SOU2003-165HSR	30	\$30,000	\$30,000	\$15,000 09-29-2004
SOU2003-166HSR	13	\$13,000	\$13,000	\$6,500 09-29-2004
SOU2003-167HS	3	\$3,000	\$3,000	\$750 09-29-2004
SOU2003-168HSR	1	\$1,000	\$1,000	\$700 09-29-2004
SOU2003-169ROP	1	\$5,000	\$5,000	\$3,600 09-29-2004
SOU2003-170SI	3	\$3,000	\$3,000	\$2,400 09-29-2004
SOU2003-173FCS	6	\$15,000	\$15,000	\$10,525 09-29-2004
SOU2003-175RW	1	\$2,000	\$2,000	\$1,500 09-29-2004
SOU2003-176LI	11	\$28,500	\$28,500	\$19,375 09-29-2004
SOU2003-177LI	7	\$17,500	\$17,500	\$11,950 09-29-2004
SOU2003-178LI	7	\$17,500	\$17,500	\$11,900 09-29-2004
SOU2003-179LI	7	\$17,500	\$17,500	\$11,900 09-29-2004
SOU2003-180LI	6	\$15,000	\$15,000	\$10,200 09-29-2004
SOU2003-181HSR	4	\$4,000	\$4,000	\$2,000 09-29-2004
SOU2003-183HSR	6	\$6,000	\$6,000	\$3,000 09-29-2004

SOU2003-184HSR	9	\$9,000	\$9,000	\$4,500	09-29-2004
SOU2003-185AD	2	\$3,500	\$3,500	\$2,400	09-29-2004
SOU2003-187RW	1	\$1,000	\$1,000	\$700	09-29-2004
SOU2003-188LI	4	\$18,500	\$18,500	\$12,575	09-29-2004
SOU2003-189GC	1	\$5,000	\$5,000	\$3,750	09-29-2004
SOU2003-190ROP	1	\$2,500	\$2,500	\$1,875	09-29-2004
SOU2003-191SI	1	\$2,500	\$2,500	\$1,875	09-29-2004
SOU2003-192HS	1	\$1,000	\$1,000	\$750	09-29-2004
SOU2003-193HMT	6	\$23,000	\$23,000	\$17,300	09-29-2004
SOU2003-194RW	1	\$2,000	\$2,000	\$700	09-29-2004
SOU2003-196HMT	12	\$45,000	\$45,000	\$28,650	09-29-2004
SOU2003-197HSR	2	\$2,000	\$2,000	\$1,200	09-29-2004
SOU2003-198LI	1	\$2,500	\$2,500	\$1,875	09-29-2004
SOU2003-203HS	3	\$3,000	\$3,000	\$1,300	09-29-2004
SOU2003-204HSR	2	\$2,000	\$2,000	\$1,300	09-29-2004
SOU2003-205HSR	12	\$12,000	\$12,000	\$6,000	09-29-2004
SOU2004-1SA	3	\$10,000	\$10,000	\$7,300	09-29-2004
SOU2004-2FCS	9	\$27,000	\$27,000	\$18,800	09-29-2004
SOU2004-3SI	2	\$7,500	\$7,500	\$5,875	09-29-2004

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FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
SOU2004-4FCS	6	\$15,000	\$15,000	\$10,500 09-29-2004
SOU2004-7LI	6	\$18,500	\$18,500	\$13,125 09-29-2004
SOU2004-8LI	5	\$12,500	\$12,500	\$8,600 09-29-2004
SOU2004-9LI	5	\$12,500	\$12,500	\$8,500 09-29-2004
SOU2004-10LI	4	\$13,500	\$13,500	\$9,825 09-29-2004
SOU2004-11RW	1	\$1,000	\$1,000	\$700 09-29-2004
SOU2004-12LI	2	\$6,000	\$6,000	\$4,275 09-29-2004
SOU2004-13FCS	2	\$5,000	\$5,000	\$3,250 09-29-2004
SOU2004-14SI	1	\$5,000	\$5,000	\$3,750 09-29-2004
SOU2004-15HS	1	\$1,000	\$1,000	\$750 09-29-2004
SOU2004-16SA	1	\$2,500	\$2,500	\$1,875 09-29-2004
SOU2004-17LI	2	\$8,500	\$8,500	\$6,325 09-29-2004
SOU2004-19HMT	8	\$46,000	\$46,000	\$29,700 09-29-2004
SOU2004-20FCS	1	\$5,000	\$5,000	\$3,500 09-29-2004
SOU2004-21GC	1	\$5,000	\$5,000	\$4,250 09-29-2004
SOU2004-22SA	5	\$12,500	\$12,500	\$8,125 09-29-2004
SOU2004-23TS	1	\$5,000	\$5,000	\$3,600 09-29-2004
SOU2004-24FCS	1	\$2,500	\$2,500	\$1,875 09-29-2004
SOU2004-25HMT	19	\$88,000	\$88,000	\$52,950 09-29-2004
SOU2004-26AD	2	\$10,000	\$10,000	\$7,000 09-29-2004
SOU2004-27FCS	4	\$10,000	\$10,000	\$7,125 09-29-2004
SOU2004-28RW	2	\$2,000	\$2,000	\$1,400 09-29-2004
SOU2004-29FCS	3	\$7,500	\$7,500	\$5,250 09-29-2004
SOU2004-30LI	1	\$2,500	\$2,500	\$1,750 09-29-2004
SOU2004-31AD	3	\$7,000	\$7,000	\$5,000 09-29-2004
SOU2004-33ROP	1	\$5,000	\$5,000	\$3,500 09-29-2004
SOU2004-34FCS	1	\$2,500	\$2,500	\$2,000 09-29-2004
SOU2004-36HS	7	\$7,000	\$7,000	\$5,100 09-29-2004
SOU2004-37HSR	18	\$18,000	\$18,000	\$9,450 09-29-2004
SOU2004-41FCS	1	\$2,500	\$2,500	\$1,750 09-29-2004
SOU2004-42TS	1	\$5,000	\$5,000	\$3,750 09-29-2004
SOU2004-43SA	1	\$2,500	\$2,500	\$1,750 09-29-2004

SOU2004-44RW	1	\$1,000	\$1,000	\$700	09-29-2004
SOU2004-45SA	5	\$12,500	\$12,500	\$8,275	09-29-2004
SOU2004-46HMT	6	\$24,000	\$20,000	\$15,800	09-29-2004
SOU2004-48SA	4	\$12,500	\$12,500	\$9,025	09-29-2004
SOU2004-49SA	3	\$15,000	\$15,000	\$10,800	09-29-2004
SOU2004-50LI	8	\$31,000	\$31,000	\$20,600	09-29-2004
SOU2004-51TS	1	\$2,500	\$2,500	\$1,875	09-29-2004
SOU2004-52SA	1	\$2,500	\$2,500	\$2,000	09-29-2004
SOU2004-53ROP	1	\$5,000	\$5,000	\$3,750	09-29-2004
SOU2004-54SA	1	\$2,500	\$2,500	\$1,750	09-29-2004
SOU2004-55FCS	1	\$2,500	\$2,500	\$2,000	09-29-2004
SOU2004-57RSP	2	\$2,000	\$2,000	\$1,400	09-29-2004
SOU2004-58FCS	1	\$5,000	\$5,000	\$3,600	09-29-2004
SOU2004-59LI	2	\$7,500	\$7,500	\$5,375	09-29-2004
SOU2004-60SA	1	\$5,000	\$5,000	\$3,750	09-29-2004
SOU2004-61FCS	5	\$12,500	\$12,500	\$8,750	09-29-2004
SOU2004-62FCS	3	\$7,500	\$7,500	\$4,800	09-29-2004
SOU2004-63LI	1	\$2,500	\$2,500	\$1,875	09-29-2004
SOU2004-65HMT	1	\$5,000	\$5,000	\$3,500	09-29-2004
SOU2004-67SA	7	\$17,500	\$17,500	\$11,375	09-29-2004

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FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
SOU2004-69AR	1	\$2,500	\$2,500	\$1,500 09-29-2004
ST2003-1SA	2	\$5,000	\$2,500	\$1,700 09-29-2004
ST2003-3FCS	1	\$2,500	\$2,500	\$1,800 09-29-2004
ST2003-4FCS	1	\$5,000	\$2,500	\$1,470 09-29-2004
ST2003-5HMT	3	\$22,500	\$22,500	\$15,525 09-29-2004
ST2003-6AR	4	\$10,000	\$10,000	\$6,375 09-29-2004
ST2003-7HMT	1	\$5,000	\$5,000	\$3,450 09-29-2004
ST2003-8SI	1	\$2,500	\$2,500	\$1,875 09-29-2004
ST2003-9LI	1	\$2,500	\$2,500	\$1,250 09-29-2004
ST2004-1SA	1	\$2,500	\$2,500	\$1,450 09-29-2004
TASD2002-3TS	8	\$25,000	\$25,000	\$14,500 12-19-2003
TASD2002-4TS	2	\$3,500	\$3,500	\$2,500 12-19-2003
TASD2003-1TS	1	\$5,000	\$5,000	\$3,500 12-19-2003
TASD2004-1HMT	1	\$5,000	\$5,000	\$3,000 05-26-2004
TIBR2003-1LI	1	\$8,500	\$8,500	\$5,100 05-06-2004
TIIZ2003-1HMT	1	\$7,500	\$7,500	\$4,500 03-23-2004
TM2000-7GC	4	\$20,000	\$20,000	\$10,000 01-21-2004
TM2002-4FCS	1	\$2,500	\$2,500	\$1,650 01-21-2004
TM2003-1TS	2	\$7,500	\$7,500	\$5,250 01-21-2004
TM2003-2AD	4	\$15,000	\$15,000	\$9,750 01-21-2004
TM2003-3TS	1	\$5,000	\$5,000	\$3,000 01-21-2004
TM2003-4TS	2	\$10,000	\$10,000	\$6,000 01-21-2004
TM2003-5TS	2	\$7,500	\$7,500	\$4,500 01-21-2004
TMBL2003-1AD	2	\$10,000	\$10,000	\$6,000 04-06-2004
TNER2003-1FCS	1	\$2,500	\$2,500	\$1,875 08-13-2004
TNMR2002-1HMT	1	\$7,500	\$7,500	\$4,000 02-03-2004
TNMR2003-1TS	3	\$6,000	\$6,000	\$3,600 03-15-2004
TRC2002-1AD	2	\$10,000	\$10,000	\$7,500 11-19-2003
TRE2002-1TS	1	\$2,500	\$2,500	\$1,875 12-08-2003
TRRA2002-2GC	1	\$2,500	\$2,500	\$1,400 01-29-2004
TRRA2002-3SI	3	\$4,500	\$4,500	\$2,550 01-28-2004

TRRA2003-1AD	10	\$27,500	\$27,500	\$17,875	01-28-2004
TRRA2003-3SI	1	\$1,000	\$1,000	\$500	04-30-2004
TRRA2003-4RW	1	\$5,000	\$5,000	\$3,000	04-30-2004
TRRA2004-1SI	1	\$5,000	\$5,000	\$2,800	04-30-2004
UCRY2003-1SA	3	\$7,500	\$6,000	\$6,000	01-26-2004
UP2002-134LI	5	\$21,000	\$21,000	\$14,020	09-22-2004
UP2002-157FCS	6	\$20,000	\$20,000	\$14,400	09-22-2004
UP2002-188FCS	2	\$10,000	\$10,000	\$7,000	09-22-2004
UP2002-202HMT	2	\$10,000	\$10,000	\$7,500	09-22-2004
UP2002-211TS	6	\$17,500	\$17,500	\$11,375	09-22-2004
UP2002-218HMT	16	\$39,500	\$39,500	\$26,450	09-22-2004
UP2002-231LI	8	\$38,500	\$38,500	\$25,925	09-22-2004
UP2002-239HMT	7	\$38,000	\$38,000	\$27,535	09-22-2004
UP2002-250GC	1	\$2,500	\$5,000	\$3,000	09-22-2004
UP2002-253TS	17	\$42,000	\$42,000	\$29,400	09-22-2004
UP2002-265SA	5	\$15,000	\$15,000	\$10,000	09-22-2004
UP2002-279TS	23	\$30,000	\$27,500	\$20,525	09-22-2004
UP2002-280RW	1	\$3,000	\$3,000	\$2,340	09-22-2004
UP2002-282RSP	1	\$1,000	\$1,000	\$700	09-22-2004
UP2002-284HMT	5	\$33,000	\$33,000	\$25,155	09-22-2004
UP2002-288FCS	1	\$10,000	\$10,000	\$6,500	09-22-2004

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FRA Case No.	Viol	POCA	PRCA	Settlement/Final Assessment	
				Amount	Date
UP2002-297RW	1	\$3,000	\$3,000	\$2,340	09-22-2004
UP2002-304TS	2	\$5,000	\$5,000	\$3,750	09-22-2004
UP2002-305FCS	7	\$27,500	\$27,500	\$17,300	09-22-2004
UP2002-306FCS	9	\$30,000	\$30,000	\$20,700	09-22-2004
UP2002-309ROP	1	\$5,000	\$5,000	\$3,500	09-22-2004
UP2002-312SA	4	\$10,000	\$10,000	\$6,500	09-22-2004
UP2002-315TS	5	\$12,500	\$12,500	\$8,125	09-22-2004
UP2002-316FCS	1	\$2,500	\$2,500	\$1,900	09-22-2004
UP2002-319TS	1	\$5,000	\$5,000	\$3,500	09-22-2004
UP2002-320SI	3	\$3,000	\$0	\$0	05-26-2004
UP2002-321SA	1	\$5,000	\$5,000	\$3,200	09-22-2004
UP2002-324TS	13	\$14,500	\$14,500	\$9,500	09-22-2004
UP2002-327SA	6	\$25,000	\$22,500	\$15,500	09-22-2004
UP2002-330TS	7	\$16,500	\$16,500	\$11,550	09-22-2004
UP2002-332FCS	1	\$2,500	\$2,500	\$1,900	09-22-2004
UP2002-333RW	1	\$1,000	\$1,000	\$730	09-22-2004
UP2002-334SA	1	\$2,500	\$2,500	\$1,700	09-22-2004
UP2002-335FCS	1	\$2,500	\$2,500	\$1,500	09-22-2004
UP2002-336GC	1	\$5,000	\$5,000	\$3,750	09-22-2004
UP2002-337RW	1	\$3,000	\$3,000	\$2,340	09-22-2004
UP2002-338FCS	6	\$17,500	\$17,500	\$12,400	09-22-2004
UP2002-339FCS	12	\$42,500	\$42,500	\$27,625	09-22-2004
UP2002-342FCS	3	\$10,000	\$10,000	\$6,900	09-22-2004
UP2002-343FCS	1	\$5,000	\$5,000	\$3,600	09-22-2004
UP2002-344SA	1	\$5,000	\$5,000	\$4,000	09-22-2004
UP2002-345SA	1	\$2,500	\$2,500	\$1,200	09-22-2004
UP2002-346RW	1	\$3,000	\$3,000	\$2,340	09-22-2004
UP2002-347RSP	1	\$5,000	\$5,000	\$3,500	09-22-2004
UP2002-348ROP	11	\$15,000	\$15,000	\$11,250	09-22-2004
UP2002-349FCS	4	\$10,000	\$10,000	\$6,500	09-22-2004

UP2003-8TS	2	\$10,000	\$10,000	\$6,500	09-22-2004
UP2003-13TS	36	\$224,500	\$224,500	\$157,150	09-22-2004
UP2003-14RW	2	\$4,000	\$4,000	\$2,980	09-22-2004
UP2003-16HMT	2	\$11,000	\$1,000	\$900	09-22-2004
UP2003-19SA	7	\$22,500	\$22,500	\$15,750	09-22-2004
UP2003-22LI	14	\$26,500	\$25,500	\$18,080	09-22-2004
UP2003-23RW	4	\$4,000	\$4,000	\$2,860	09-22-2004
UP2003-25RW	1	\$1,000	\$1,000	\$730	09-22-2004
UP2003-27TS	8	\$30,000	\$30,000	\$21,000	09-22-2004
UP2003-28TS	4	\$12,500	\$12,500	\$8,750	09-22-2004
UP2003-30RW	5	\$14,000	\$11,000	\$8,280	09-22-2004
UP2003-31HSR	6	\$6,000	\$6,000	\$4,200	09-22-2004
UP2003-32HS	5	\$2,500	\$2,500	\$2,500	09-22-2004
UP2003-34SA	6	\$20,000	\$20,000	\$12,600	09-22-2004
UP2003-35SA	6	\$17,500	\$17,500	\$12,250	09-22-2004
UP2003-38LI	1	\$5,000	\$5,000	\$3,000	09-22-2004
UP2003-39SA	2	\$5,000	\$5,000	\$3,800	09-22-2004
UP2003-40HMT	1	\$5,000	\$5,000	\$3,650	09-22-2004
UP2003-41TS	1	\$5,000	\$5,000	\$3,500	09-22-2004
UP2003-42ROP	2	\$10,000	\$10,000	\$7,750	09-22-2004
UP2003-43FCS	4	\$10,000	\$10,000	\$7,000	09-22-2004
UP2003-44FCS	1	\$2,500	\$2,500	\$1,500	09-22-2004

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FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
UP2003-45SA	1	\$2,500	\$2,500	\$2,000 09-22-2004
UP2003-46SA	1	\$2,500	\$2,500	\$2,000 09-22-2004
UP2003-47SI	2	\$5,000	\$5,000	\$3,000 09-22-2004
UP2003-48TS	7	\$28,500	\$26,000	\$15,750 09-22-2004
UP2003-49SA	2	\$7,500	\$7,500	\$5,800 09-22-2004
UP2003-50LI	5	\$15,500	\$15,500	\$10,750 09-22-2004
UP2003-51AD	2	\$5,000	\$5,000	\$3,800 09-22-2004
UP2003-52EQ	1	\$5,000	\$5,000	\$3,000 09-22-2004
UP2003-53LI	19	\$62,000	\$62,000	\$42,095 09-22-2004
UP2003-55RW	2	\$4,000	\$1,000	\$730 09-22-2004
UP2003-56TS	18	\$33,000	\$33,000	\$22,700 09-22-2004
UP2003-57TS	2	\$10,000	\$10,000	\$7,000 09-22-2004
UP2003-58TS	7	\$17,500	\$17,500	\$12,250 09-22-2004
UP2003-59TS	12	\$17,500	\$17,500	\$11,250 09-22-2004
UP2003-60TS	3	\$8,500	\$3,500	\$2,625 09-22-2004
UP2003-61TS	9	\$32,500	\$32,500	\$20,375 09-22-2004
UP2003-62TS	8	\$33,500	\$33,500	\$19,450 09-22-2004
UP2003-63TS	9	\$16,500	\$15,500	\$11,525 09-22-2004
UP2003-64SA	5	\$15,000	\$15,000	\$10,800 09-22-2004
UP2003-65SA	4	\$15,000	\$15,000	\$10,800 09-22-2004
UP2003-66SA	5	\$25,000	\$22,500	\$16,200 09-22-2004
UP2003-67SA	4	\$10,000	\$10,000	\$6,500 09-22-2004
UP2003-68RSP	11	\$26,000	\$26,000	\$18,450 09-22-2004
UP2003-69LI	22	\$69,500	\$61,500	\$39,045 09-22-2004
UP2003-70HSR	1	\$1,000	\$1,000	\$750 09-22-2004
UP2003-71RW	4	\$8,000	\$8,000	\$5,910 09-22-2004
UP2003-72FCS	3	\$7,500	\$7,500	\$5,200 09-22-2004
UP2003-73TS	6	\$30,000	\$30,000	\$22,500 09-22-2004
UP2003-74TS	1	\$2,500	\$2,500	\$1,625 09-22-2004

UP2003-75RW	2	\$3,000	\$3,000	\$2,230	09-22-2004
UP2003-76FCS	5	\$17,500	\$17,500	\$11,500	09-22-2004
UP2003-77SI	1	\$5,000	\$5,000	\$4,000	09-22-2004
UP2003-78HS	1	\$1,000	\$1,000	\$750	09-22-2004
UP2003-79RSP	1	\$5,000	\$5,000	\$3,750	09-22-2004
UP2003-80FCS	1	\$5,000	\$5,000	\$3,000	09-22-2004
UP2003-81FCS	1	\$5,000	\$5,000	\$3,500	09-22-2004
UP2003-82TS	3	\$7,000	\$7,000	\$4,900	09-22-2004
UP2003-83RSP	1	\$5,000	\$5,000	\$3,750	09-22-2004
UP2003-84SA	5	\$17,500	\$17,500	\$12,600	09-22-2004
UP2003-85ROP	1	\$5,000	\$5,000	\$4,000	09-22-2004
UP2003-86FCS	3	\$12,500	\$12,500	\$8,600	09-22-2004
UP2003-87GC	2	\$10,000	\$10,000	\$7,500	09-22-2004
UP2003-88TS	5	\$8,000	\$5,500	\$4,125	09-22-2004
UP2003-89FCS	3	\$15,000	\$15,000	\$11,000	09-22-2004
UP2003-90GC	1	\$2,500	\$2,500	\$1,750	09-22-2004
UP2003-91TS	5	\$17,000	\$17,000	\$12,750	09-22-2004
UP2003-92HMT	2	\$9,000	\$4,000	\$2,800	09-22-2004
UP2003-93HMT	3	\$14,500	\$14,500	\$10,960	09-22-2004
UP2003-94FCS	1	\$2,000	\$2,000	\$1,800	09-22-2004
UP2003-95GC	1	\$5,000	\$5,000	\$3,750	09-22-2004
UP2003-96FCS	2	\$5,000	\$5,000	\$3,250	09-22-2004
UP2003-97FCS	6	\$22,500	\$22,500	\$16,200	09-22-2004

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FRA Case No.	Viol	POCA	PRCA	Settlement/Final Assessment	
				No.	Amount Date
UP2003-98SI	2	\$3,500	\$3,500	\$2,625	09-22-2004
UP2003-99TS	11	\$23,000	\$23,000	\$15,750	09-22-2004
UP2003-100SA	6	\$17,500	\$17,500	\$11,200	09-22-2004
UP2003-101ROP	1	\$5,000	\$5,000	\$4,000	09-22-2004
UP2003-102HSR	4	\$7,000	\$4,000	\$2,600	09-22-2004
UP2003-103TS	6	\$10,500	\$8,000	\$5,600	09-22-2004
UP2003-104TS	3	\$3,000	\$3,000	\$2,100	09-22-2004
UP2003-105TS	6	\$14,500	\$9,500	\$6,000	09-22-2004
UP2003-106HMT	5	\$33,500	\$18,000	\$13,675	09-22-2004
UP2003-107SA	4	\$10,000	\$10,000	\$6,800	09-22-2004
UP2003-109LI	4	\$17,500	\$17,500	\$12,275	09-22-2004
UP2003-110TS	5	\$15,000	\$15,000	\$10,000	09-22-2004
UP2003-112HMT	3	\$12,000	\$12,000	\$9,000	09-22-2004
UP2003-113SA	6	\$22,500	\$20,000	\$12,600	09-22-2004
UP2003-114SA	4	\$17,500	\$17,500	\$12,250	09-22-2004
UP2003-115TS	1	\$5,000	\$5,000	\$3,750	09-22-2004
UP2003-117TS	1	\$2,500	\$2,500	\$1,875	09-22-2004
UP2003-118LI	3	\$5,500	\$5,500	\$3,830	09-22-2004
UP2003-119RW	1	\$1,000	\$1,000	\$730	09-22-2004
UP2003-120FCS	2	\$5,000	\$5,000	\$3,000	09-22-2004
UP2003-121LI	1	\$2,500	\$2,500	\$1,950	09-22-2004
UP2003-122ROP	1	\$5,000	\$5,000	\$3,750	09-22-2004
UP2003-123AR	1	\$2,500	\$2,500	\$1,625	09-22-2004
UP2003-124HS	5	\$5,000	\$5,000	\$3,500	09-22-2004
UP2003-125RSP	1	\$5,000	\$5,000	\$3,250	09-22-2004
UP2003-126TS	2	\$5,000	\$5,000	\$3,750	09-22-2004
UP2003-127SA	4	\$15,000	\$15,000	\$10,300	09-22-2004
UP2003-128SA	3	\$12,500	\$12,500	\$9,000	09-22-2004

UP2003-129TS	6	\$6,000	\$6,000	\$4,500	09-22-2004
UP2003-131FCS	1	\$2,500	\$2,500	\$1,500	09-22-2004
UP2003-132EQ	2	\$2,000	\$2,000	\$1,300	09-22-2004
UP2003-133AR	4	\$10,000	\$10,000	\$5,500	09-22-2004
UP2003-134FCS	4	\$12,500	\$12,500	\$8,750	09-22-2004
UP2003-135ROP	1	\$7,500	\$7,500	\$6,000	09-22-2004
UP2003-136SI	2	\$2,000	\$2,000	\$1,500	09-22-2004
UP2003-137FCS	7	\$17,500	\$17,500	\$12,100	09-22-2004
UP2003-138LI	8	\$23,500	\$21,500	\$15,710	09-22-2004
UP2003-139EQ	1	\$5,000	\$5,000	\$3,750	09-22-2004
UP2003-140SA	5	\$12,500	\$12,500	\$9,200	09-22-2004
UP2003-141HMT	15	\$80,000	\$70,000	\$52,990	09-22-2004
UP2003-142SA	4	\$12,500	\$12,500	\$9,000	09-22-2004
UP2003-143FCS	3	\$15,000	\$15,000	\$10,000	09-22-2004
UP2003-144FCS	5	\$10,000	\$10,000	\$6,500	09-22-2004
UP2003-146TS	2	\$10,000	\$10,000	\$6,500	09-22-2004
UP2003-147HMT	6	\$24,000	\$24,000	\$18,240	09-22-2004
UP2003-148TS	4	\$20,000	\$20,000	\$13,000	09-22-2004
UP2003-150FCS	4	\$12,500	\$12,500	\$8,800	09-22-2004
UP2003-151SA	5	\$15,000	\$15,000	\$10,500	09-22-2004
UP2003-152SA	5	\$17,500	\$17,500	\$12,250	09-22-2004
UP2003-153TS	3	\$4,500	\$4,500	\$2,925	09-22-2004
UP2003-154HMT	6	\$41,000	\$41,000	\$29,250	09-22-2004
UP2003-155HMT	3	\$16,000	\$16,000	\$11,200	09-22-2004

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FRA Case No.	No.	Settlement/Final Assessment		
		Viol	POCA	PRCA
UP2003-156SA	5	\$12,500	\$12,500	\$9,000 09-22-2004
UP2003-157RSP	1	\$1,000	\$1,000	\$700 09-22-2004
UP2003-158TS	5	\$12,000	\$12,000	\$8,400 09-22-2004
UP2003-159TS	6	\$28,500	\$28,500	\$20,000 09-22-2004
UP2003-160HMT	6	\$45,000	\$45,000	\$31,500 09-22-2004
UP2003-161SA	9	\$32,500	\$32,500	\$18,000 09-22-2004
UP2003-162SA	7	\$25,000	\$25,000	\$18,500 09-22-2004
UP2003-163HMT	2	\$8,000	\$8,000	\$5,250 09-22-2004
UP2003-164SA	1	\$5,000	\$5,000	\$3,250 09-22-2004
UP2003-165HMT	3	\$19,500	\$9,490	\$7,250 09-22-2004
UP2003-166SA	5	\$12,500	\$12,500	\$9,375 09-22-2004
UP2003-167SA	6	\$30,000	\$30,000	\$21,000 09-22-2004
UP2003-169SA	6	\$20,000	\$20,000	\$13,500 09-22-2004
UP2003-170TS	3	\$10,000	\$10,000	\$7,000 09-22-2004
UP2003-171HMT	1	\$4,000	\$4,000	\$2,800 09-22-2004
UP2003-172TS	13	\$32,500	\$32,500	\$23,125 09-22-2004
UP2003-173TS	10	\$20,000	\$17,500	\$11,750 09-22-2004
UP2003-174TS	1	\$2,500	\$2,500	\$1,750 09-22-2004
UP2003-175SA	1	\$2,500	\$2,500	\$1,875 09-22-2004
UP2003-176HMT	1	\$2,000	\$2,000	\$1,300 09-22-2004
UP2003-177ROP	3	\$9,000	\$9,000	\$6,750 09-22-2004
UP2003-178FCS	8	\$27,500	\$27,500	\$19,700 09-22-2004
UP2003-179SA	6	\$15,000	\$15,000	\$9,750 09-22-2004
UP2003-180SA	6	\$17,500	\$17,500	\$12,500 09-22-2004
UP2003-181HS	2	\$2,000	\$2,000	\$1,500 09-22-2004
UP2003-182HSR	1	\$1,000	\$1,000	\$700 09-22-2004
UP2003-183HMT	1	\$1,000	\$1,000	\$730 09-22-2004

UP2003-184RSP	2	\$6,000	\$6,000	\$4,500	09-22-2004
UP2003-185TS	1	\$2,500	\$2,500	\$1,625	09-22-2004
UP2003-186SA	5	\$25,000	\$16,500	\$16,500	09-22-2004
UP2003-187SA	5	\$12,500	\$12,500	\$8,750	09-22-2004
UP2003-188SA	5	\$12,500	\$12,500	\$8,750	09-22-2004
UP2003-190LI	6	\$10,500	\$10,500	\$7,350	09-22-2004
UP2003-191RW	2	\$5,000	\$5,000	\$3,500	09-22-2004
UP2003-192FCS	4	\$12,500	\$12,500	\$9,000	09-22-2004
UP2003-193TS	4	\$6,000	\$6,000	\$4,400	09-22-2004
UP2003-195TS	32	\$90,000	\$90,000	\$67,500	09-22-2004
UP2003-196TS	12	\$39,500	\$37,000	\$27,650	09-22-2004
UP2003-197SA	2	\$5,000	\$5,000	\$3,750	09-22-2004
UP2003-198TS	4	\$4,000	\$4,000	\$2,800	09-22-2004
UP2003-199FCS	4	\$15,000	\$15,000	\$10,800	09-22-2004
UP2003-200GC	1	\$5,000	\$5,000	\$3,750	09-22-2004
UP2003-201SI	2	\$6,000	\$6,000	\$3,750	09-22-2004
UP2003-202HMT	5	\$30,500	\$30,500	\$22,755	09-22-2004
UP2003-203TS	16	\$40,000	\$0	\$0	04-21-2004
UP2003-204AR	1	\$2,500	\$2,500	\$1,625	09-22-2004
UP2003-205HS	1	\$1,000	\$1,000	\$700	09-22-2004
UP2003-206RSP	2	\$6,000	\$6,000	\$4,150	09-22-2004
UP2003-207AD	6	\$30,000	\$30,000	\$23,000	09-22-2004
UP2003-208RW	1	\$1,000	\$1,000	\$730	09-22-2004
UP2003-211HMT	1	\$2,500	\$2,500	\$1,625	09-22-2004
UP2003-212SA	6	\$15,000	\$15,000	\$10,000	09-22-2004

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FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
UP2003-213ROP	1	\$5,000	\$5,000	\$3,750 09-22-2004
UP2003-214FCS	4	\$12,500	\$12,500	\$9,000 09-22-2004
UP2003-219AD	6	\$22,500	\$22,500	\$16,500 09-22-2004
UP2003-221GC	1	\$5,000	\$5,000	\$3,500 09-22-2004
UP2003-222GC	2	\$10,000	\$10,000	\$7,000 09-22-2004
UP2003-223SI	1	\$5,000	\$5,000	\$3,000 09-22-2004
UP2003-226SA	1	\$5,000	\$5,000	\$3,750 09-22-2004
UP2003-227RSP	3	\$7,000	\$7,000	\$5,250 09-22-2004
UP2003-228TS	1	\$5,000	\$5,000	\$3,250 09-22-2004
UP2003-229RW	1	\$1,000	\$1,000	\$700 09-22-2004
UP2003-231HMT	6	\$21,000	\$21,000	\$14,820 09-22-2004
UP2003-232SI	1	\$1,000	\$1,000	\$750 09-22-2004
UP2003-233FCS	2	\$5,000	\$5,000	\$3,600 09-22-2004
UP2003-234FCS	10	\$25,000	\$25,000	\$18,300 09-22-2004
UP2003-235RW	1	\$1,000	\$1,000	\$730 09-22-2004
UP2003-236FCS	2	\$7,500	\$7,500	\$5,250 09-22-2004
UP2003-237FCS	2	\$5,000	\$5,000	\$3,600 09-22-2004
UP2003-238SI	2	\$10,000	\$10,000	\$7,500 09-22-2004
UP2003-239GC	3	\$8,500	\$8,500	\$5,525 09-22-2004
UP2003-240FCS	1	\$7,500	\$7,500	\$5,000 09-22-2004
UP2003-241SA	5	\$22,500	\$22,500	\$14,800 09-22-2004
UP2003-242SA	5	\$15,000	\$15,000	\$11,000 09-22-2004
UP2003-243ROP	1	\$2,500	\$2,500	\$1,875 09-22-2004
UP2003-244SA	5	\$17,500	\$17,500	\$11,500 09-22-2004
UP2003-245TS	11	\$45,000	\$55,000	\$39,600 09-22-2004
UP2003-246ROP	1	\$5,000	\$5,000	\$3,750 09-22-2004

UP2 '03-247FCS	1	\$2,500	\$2,500	\$1,500	09-22-2004
UP2003-248HMT	6	\$36,000	\$36,000	\$27,000	09-22-2004
UP2003-250FCS	1	\$2,500	\$2,500	\$1,400	09-22-2004
UP2003-251RW	1	\$3,000	\$3,000	\$2,190	09-22-2004
UP2003-252SA	1	\$2,500	\$2,500	\$1,500	09-22-2004
UP2003-253LI	13	\$44,000	\$44,000	\$31,505	09-22-2004
UP2003-254RSP	2	\$6,000	\$6,000	\$4,450	09-22-2004
UP2003-255HMT	2	\$8,500	\$8,500	\$5,100	09-22-2004
UP2003-256LI	23	\$68,000	\$65,000	\$46,850	09-22-2004
UP2003-257SA	1	\$2,500	\$2,500	\$1,950	09-22-2004
UP2003-258TS	9	\$9,000	\$9,000	\$6,250	09-22-2004
UP2003-259SA	3	\$22,500	\$22,500	\$17,550	09-22-2004
UP2003-260SA	3	\$10,000	\$10,000	\$5,900	09-22-2004
UP2003-261SA	3	\$12,500	\$12,500	\$7,850	09-22-2004
UP2003-262TS	1	\$2,500	\$0	\$0	09-22-2004
UP2003-264HMT	2	\$10,000	\$10,000	\$6,500	09-22-2004
UP2003-265TS	3	\$7,500	\$7,500	\$5,125	09-22-2004
UP2003-266RW	5	\$9,000	\$9,000	\$6,590	09-22-2004
UP2003-267TS	1	\$1,000	\$1,000	\$700	09-22-2004
UP2003-269LI	3	\$4,500	\$4,500	\$3,100	09-22-2004
UP2003-270LI	6	\$17,500	\$17,500	\$12,650	09-22-2004
UP2003-271LI	6	\$16,500	\$14,000	\$10,300	09-22-2004
UP2003-272LI	5	\$25,500	\$25,000	\$17,500	09-22-2004
UP2003-273LI	3	\$12,500	\$10,000	\$7,250	09-22-2004
UP2003-274LI	4	\$13,500	\$13,500	\$7,850	09-22-2004
UP2003-275LI	1	\$1,000	\$1,000	\$660	09-22-2004

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FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
UP2003-277GC	1	\$5,000	\$5,000	\$3,500 09-22-2004
UP2003-278SA	1	\$5,000	\$5,000	\$3,900 09-22-2004
UP2003-279HMT	3	\$22,500	\$22,500	\$15,000 09-22-2004
UP2003-280LI	10	\$39,500	\$37,000	\$27,250 09-22-2004
UP2003-281LI	9	\$44,000	\$44,000	\$28,500 09-22-2004
UP2003-282SA	4	\$10,000	\$10,000	\$5,625 09-22-2004
UP2003-283RSP	4	\$4,000	\$4,000	\$2,800 09-22-2004
UP2003-284SA	2	\$5,000	\$5,000	\$3,750 09-22-2004
UP2003-285SA	3	\$7,500	\$7,500	\$5,650 09-22-2004
UP2003-287SA	2	\$7,500	\$7,500	\$5,500 09-22-2004
UP2003-288ROP	1	\$5,000	\$5,000	\$3,750 09-22-2004
UP2003-289LI	6	\$12,000	\$9,500	\$7,085 09-22-2004
UP2003-290HS	1	\$1,000	\$1,000	\$700 09-22-2004
UP2003-292TS	3	\$7,000	\$7,000	\$4,900 09-22-2004
UP2003-293TS	3	\$7,500	\$7,500	\$5,250 09-22-2004
UP2003-294SA	2	\$3,500	\$3,500	\$2,730 09-22-2004
UP2003-295TS	1	\$5,000	\$5,000	\$3,500 09-22-2004
UP2003-296SA	2	\$10,000	\$10,000	\$7,000 09-22-2004
UP2003-297HMT	1	\$5,000	\$5,000	\$3,250 09-22-2004
UP2003-298RW	2	\$2,000	\$2,000	\$1,460 09-22-2004
UP2003-300SA	3	\$7,500	\$7,500	\$5,625 09-22-2004
UP2003-301FCS	9	\$25,000	\$25,000	\$16,500 09-22-2004
UP2003-302SA	3	\$7,500	\$7,500	\$4,925 09-22-2004
UP2003-303SA	3	\$7,500	\$7,500	\$5,000 09-22-2004
UP2003-304SA	3	\$7,500	\$7,500	\$5,250 09-22-2004

UP2003-30 ^F TS	1	\$5,000	\$5,000	\$3,600	09-22-2004
UP2003-306HMT	1	\$5,000	\$5,000	\$3,250	09-22-2004
UP2003-308FCS	5	\$12,500	\$12,500	\$8,900	09-22-2004
UP2003-309LI	1	\$5,000	\$5,000	\$3,750	09-22-2004
UP2003-310ROP	5	\$22,000	\$20,000	\$15,000	09-22-2004
UP2003-311SI	1	\$2,500	\$2,500	\$1,875	09-22-2004
UP2003-312REM	1	\$5,000	\$5,000	\$3,750	09-22-2004
UP2003-313SA	7	\$17,500	\$17,500	\$12,500	09-22-2004
UP2003-314AR	2	\$5,000	\$5,000	\$3,875	09-22-2004
UP2003-315FCS	7	\$30,000	\$30,000	\$18,500	09-22-2004
UP2003-316SI	1	\$1,000	\$1,000	\$750	09-22-2004
UP2003-317GC	1	\$5,000	\$5,000	\$3,750	09-22-2004
UP2003-319SA	3	\$10,000	\$10,000	\$6,825	09-22-2004
UP2003-320SA	4	\$10,000	\$10,000	\$7,275	09-22-2004
UP2003-321LI	4	\$9,000	\$9,000	\$6,670	09-22-2004
UP2003-323SA	7	\$22,500	\$22,500	\$16,875	09-22-2004
UP2003-324HS	1	\$1,000	\$1,000	\$750	09-22-2004
UP2003-325HMT	1	\$1,000	\$1,000	\$750	09-22-2004
UP2003-326SA	4	\$15,000	\$15,000	\$11,200	09-22-2004
UP2003-327TS	1	\$2,500	\$2,500	\$1,875	09-22-2004
UP2003-329EQ	1	\$2,500	\$2,500	\$1,750	09-22-2004
UP2003-330LI	2	\$11,000	\$11,000	\$8,150	09-22-2004
UP2003-332TS	9	\$15,000	\$15,000	\$10,500	09-22-2004
UP2003-333SI	1	\$5,000	\$5,000	\$3,750	09-22-2004
UP2003-334FCS	2	\$7,500	\$7,500	\$5,000	09-22-2004
UP2003-335TS	74	\$207,500	\$207,500	\$157,700	09-22-2004
UP2003-336TS	6	\$17,500	\$17,500	\$14,000	09-22-2004

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FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
UP2003-337SA	5	\$15,000	\$15,000	\$9,500 09-22-2004
UP2003-340TS	2	\$10,000	\$10,000	\$7,250 09-22-2004
UP2003-341LI	1	\$1,000	\$1,000	\$650 09-22-2004
UP2003-343SA	1	\$2,500	\$2,500	\$1,600 09-22-2004
UP2003-344EQ	1	\$4,000	\$4,000	\$2,800 09-22-2004
UP2003-345SA	5	\$12,500	\$12,500	\$8,925 09-22-2004
UP2003-347GC	2	\$3,500	\$3,500	\$2,625 09-22-2004
UP2003-348FCS	2	\$7,500	\$7,500	\$5,100 09-22-2004
UP2003-349RSP	5	\$5,000	\$5,000	\$3,500 09-22-2004
UP2003-351FCS	6	\$22,500	\$22,500	\$16,200 09-22-2004
UP2003-352ROP	3	\$12,000	\$12,000	\$9,000 09-22-2004
UP2003-353HMT	5	\$25,500	\$25,500	\$18,050 09-22-2004
UP2003-354SA	1	\$2,500	\$2,500	\$1,750 09-22-2004
UP2003-355TS	7	\$19,000	\$14,000	\$9,900 09-22-2004
UP2003-356TS	5	\$10,500	\$10,500	\$7,475 09-22-2004
UP2003-357RSP	2	\$10,000	\$10,000	\$7,500 09-22-2004
UP2003-358HS	1	\$1,000	\$1,000	\$750 09-22-2004
UP2003-359SA	1	\$2,500	\$2,500	\$1,950 09-22-2004
UP2003-362TS	2	\$5,000	\$5,000	\$3,250 09-22-2004
UP2003-364SA	2	\$7,500	\$7,500	\$5,625 09-22-2004
UP2003-365AR	1	\$2,500	\$2,500	\$1,625 09-22-2004
UP2003-366AR	1	\$2,500	\$2,500	\$2,000 09-22-2004
UP2003-368HMT	1	\$1,000	\$1,000	\$750 09-22-2004
UP2003-369SA	3	\$10,000	\$10,000	\$7,800 09-22-2004

UP2003-371SA	2	\$5,000	\$5,000	\$3,775	09-22-2004
UP2003-373LI	4	\$14,000	\$14,000	\$10,250	09-22-2004
UP2003-374ROP	1	\$2,000	\$2,000	\$1,400	09-22-2004
UP2003-375SA	1	\$5,000	\$5,000	\$3,650	09-22-2004
UP2003-376SA	4	\$15,000	\$15,000	\$11,300	09-22-2004
UP2003-377SA	3	\$7,500	\$7,500	\$4,900	09-22-2004
UP2003-379HMT	1	\$10,000	\$10,000	\$6,500	09-22-2004
UP2003-381LI	3	\$15,000	\$15,000	\$11,250	09-22-2004
UP2003-382LI	5	\$12,500	\$2,500	\$1,875	09-22-2004
UP2003-383SI	3	\$12,500	\$10,000	\$7,500	09-22-2004
UP2003-384FCS	5	\$22,500	\$22,500	\$15,400	09-22-2004
UP2003-386AD	1	\$2,500	\$2,500	\$1,500	09-22-2004
UP2003-387LT	1	\$6,500	\$6,500	\$4,550	09-22-2004
UP2003-389LI	1	\$2,000	\$2,000	\$1,500	09-22-2004
UP2003-391HMT	5	\$37,500	\$37,500	\$26,250	09-22-2004
UP2003-393GC	1	\$2,500	\$2,500	\$1,875	09-22-2004
UP2003-394SI	1	\$1,000	\$1,000	\$700	09-22-2004
UP2003-395LI	7	\$21,500	\$21,500	\$15,500	09-22-2004
UP2003-399RW	2	\$2,000	\$2,000	\$1,460	09-22-2004
UP2004-1SA	1	\$5,000	\$5,000	\$3,900	09-22-2004
UP2004-3HMT	1	\$2,000	\$2,000	\$1,500	09-22-2004
UP2004-5GC	1	\$5,000	\$5,000	\$3,750	09-22-2004
UP2004-6FCS	1	\$2,500	\$2,500	\$1,750	09-22-2004
UP2004-7SI	1	\$5,000	\$5,000	\$3,750	09-22-2004
UP2004-9SA	3	\$15,000	\$15,000	\$11,700	09-22-2004
UP2004-11LI	4	\$5,500	\$5,500	\$4,065	09-22-2004
UP2004-13SA	4	\$10,000	\$10,000	\$6,925	09-22-2004
UP2004-17FCS	1	\$5,000	\$5,000	\$3,200	09-22-2004

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FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
UP2004-19GC	2	\$7,500	\$5,000	\$3,750 09-22-2004
UP2004-22SA	4	\$10,000	\$10,000	\$7,600 09-22-2004
UP2004-23FCS	6	\$20,000	\$20,000	\$13,500 09-22-2004
UP2004-24SA	1	\$2,500	\$2,500	\$1,750 09-22-2004
UP2004-34SI	2	\$5,000	\$7,500	\$5,625 09-22-2004
UP2004-37SA	1	\$2,500	\$2,500	\$1,625 09-22-2004
UP2004-39RSP	1	\$5,000	\$5,000	\$3,750 09-22-2004
UP2004-43FCS	3	\$10,000	\$10,000	\$6,800 09-22-2004
UP2004-45FCS	1	\$5,000	\$5,000	\$3,500 09-22-2004
USKS1999-1ROR	1	\$2,500	\$0	\$0 01-21-2004
UTAH2002-1FCS	1	\$7,500	\$2,500	\$2,000 02-10-2004
UTAH2002-2FCS	1	\$5,000	\$5,000	\$3,750 02-10-2004
UTAH2002-3SA	1	\$2,500	\$2,500	\$2,500 02-10-2004
UTAH2003-1SA	1	\$2,500	\$2,500	\$1,750 02-10-2004
UTAH2003-2FCS	1	\$2,500	\$2,500	\$2,000 02-10-2004
UTAX2003-1RW	1	\$1,000	\$20,000	\$16,000 08-13-2004
UTAX2003-2TS	4	\$20,000	\$1,000	\$800 08-13-2004
VTR2002-1LI	1	\$1,500	\$1,500	\$1,000 12-02-2003
WE2002-8AR	5	\$12,500	\$12,500	\$6,875 09-15-2004
WE2002-9HMT	2	\$4,000	\$4,000	\$2,500 09-15-2004
WE2003-1FCS	2	\$5,000	\$5,000	\$2,500 09-15-2004
WE2003-2FCS	1	\$2,500	\$2,500	\$1,500 09-15-2004
WSOR2003-2TS	1	\$5,000	\$5,000	\$3,500 09-30-2004

WTJR2003-1SA	1	\$2,500	\$2,500	\$2,500	01-12-2004
WTJR2003-2EQ	1	\$5,000	\$5,000	\$3,000	08-17-2004
XWEI2003-1RW	1	\$3,000	\$3,000	\$3,000	01-12-2004
YKR2001-1LI	1	\$2,500	\$2,500	\$1,875	11-15-2003
YW2003-1GC	1	\$2,500	\$2,500	\$2,000	11-20-2003
ZAACO2003-1HMT	3	\$12,000	\$12,000	\$6,600	05-25-2004
ZAAO2003-1HMT	1	\$5,000	\$5,000	\$5,000	09-29-2004
ZACH2001-1HMT	1	\$10,000	\$10,000	\$10,000	01-09-2004
ZACIN1999-1HMT	2	\$10,000	\$0	\$0	05-24-2004
ZACR2001-1HMT	1	\$2,500	\$2,500	\$1,500	09-29-2004
ZACR2002-1HMT	2	\$17,500	\$17,500	\$12,000	09-29-2004
ZACR2003-1HMT	1	\$5,000	\$5,000	\$3,500	09-29-2004
ZACR2003-2HMT	1	\$10,000	\$10,000	\$7,500	09-29-2004
ZADP2004-1HMT	1	\$10,000	\$10,000	\$6,500	06-22-2004
ZAG1999-1HMT	4	\$17,000	\$17,000	\$6,000	03-22-2004
ZAG1999-2HMT	1	\$15,000	\$15,000	\$7,500	03-22-2004
ZAG2003-1HMT	1	\$15,000	\$15,000	\$10,500	03-22-2004
ZAGD2001-1HMT	1	\$2,500	\$2,500	\$2,500	01-20-2004
ZAGE2003-1HMT	1	\$7,500	\$7,500	\$4,000	01-14-2004
ZAGRC2003-1HMT	1	\$10,000	\$10,000	\$5,000	11-20-2003
ZAGT2002-1HMT	1	\$2,000	\$2,000	\$2,000	01-20-2004
ZAGT2003-1HMT	1	\$6,000	\$6,000	\$4,000	01-20-2004
ZAGT2003-2HMT	1	\$5,000	\$5,000	\$3,000	01-20-2004
ZAIL2002-1HMT	2	\$4,000	\$4,000	\$3,000	06-30-2004
ZAIL2002-2HMT	1	\$5,000	\$5,000	\$3,750	06-30-2004
ZAIS2002-1HMT	1	\$2,500	\$2,500	\$2,500	08-24-2004
ZALCI2001-1HMT	1	\$5,000	\$5,000	\$2,500	02-04-2004
ZALLC2004-1HMT	1	\$2,500	\$2,500	\$1,750	09-24-2004
ZALN2002-1HMT	1	\$7,500	\$7,500	\$4,000	07-13-2004

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FRA Case No.	Viol	No.	Settlement/Final Assessment		
			POCA	PRCA	Amount Date
ZALT2003-1HMT	1	\$6,000	\$6,000	\$6,000	09-24-2004
ZAMC2001-1HMT	1	\$5,000	\$5,000	\$3,000	09-29-2004
ZAMC2001-2HMT	1	\$3,000	\$3,000	\$1,875	09-29-2004
ZAMC2002-1HMT	1	\$10,000	\$10,000	\$6,250	09-29-2004
ZAMC2003-1HMT	1	\$5,000	\$5,000	\$3,000	09-29-2004
ZAMC2003-2HMT	3	\$15,000	\$15,000	\$8,875	09-29-2004
ZAMC2003-3HMT	1	\$10,000	\$10,000	\$8,000	09-29-2004
ZAMC2003-4HMT	1	\$7,500	\$7,500	\$4,500	09-29-2004
ZAMK2001-1HMT	1	\$5,000	\$5,000	\$2,500	06-12-2004
ZAMK2001-2HMT	3	\$7,500	\$7,500	\$3,750	06-12-2004
ZAMK2001-3HMT	8	\$20,000	\$20,000	\$10,000	06-12-2004
ZAMP2000-1HMT	2	\$5,500	\$5,500	\$3,000	09-02-2004
ZAMRI2003-2HMT	1	\$7,500	\$7,500	\$4,500	07-22-2004
ZAMS2003-1HMT	3	\$15,000	\$15,000	\$7,500	03-26-2004
ZAMZ2000-1HMT	1	\$4,000	\$0	\$0	01-22-2004
ZANB2003-1HMT	3	\$15,000	\$15,000	\$9,000	06-07-2004
ZAPC2002-1HMT	1	\$12,500	\$12,500	\$8,000	01-13-2004
ZAPC2004-1HMT	1	\$2,500	\$2,500	\$2,500	04-16-2004
ZAPCH1999-1HMT	1	\$15,000	\$15,000	\$3,500	02-13-2004
ZAPIN2004-1HMT	1	\$10,000	\$10,000	\$10,000	06-08-2004
ZAPL1999-1HMT	1	\$2,500	\$0	\$0	09-02-2004
ZAPL2001-2HMT	1	\$7,500	\$0	\$0	09-02-2004

ZAPL2002-1HMT	1	\$1,000	\$1,000	\$1,000	09-02-2004
ZAPL2003-1HMT	1	\$2,000	\$2,000	\$2,000	09-02-2004
ZAPS2002-1HMT	1	\$2,500	\$0	\$0	01-21-2004
ZAPU2001-1HMT	2	\$3,000	\$0	\$0	05-24-2004
ZARCH2003-1HMT	1	\$2,500	\$2,500	\$0	01-20-2004
ZARE2003-2HMT	2	\$10,000	\$10,000	\$10,000	01-29-2004
ZARG2000-1HMT	2	\$10,000	\$10,000	\$5,000	07-22-2004
ZARG2002-1HMT	1	\$2,500	\$2,500	\$1,375	07-22-2004
ZARG2002-2HMT	1	\$2,500	\$2,500	\$1,375	07-22-2004
ZARZ2001-1HMT	1	\$2,000	\$2,000	\$1,500	03-29-2004
ZARZ2001-2HMT	1	\$5,000	\$5,000	\$3,500	03-29-2004
ZARZ2003-1HMT	2	\$4,000	\$4,000	\$2,500	03-29-2004
ZASC2001-2HMT	3	\$6,000	\$6,000	\$6,000	09-23-2004
ZAST2004-1HMT	1	\$7,500	\$7,500	\$5,000	07-14-2004
ZAWE2002-1HMT	1	\$5,000	\$5,000	\$3,500	12-02-2003
ZBASI2002-1HMT	1	\$2,000	\$2,000	\$1,400	01-26-2004
ZBASI2003-1HMT	1	\$1,000	\$1,000	\$1,000	01-26-2004
ZBAYR2003-1HMT	2	\$4,000	\$4,000	\$3,000	09-15-2004
ZBCPA2003-1HMT	1	\$3,500	\$3,500	\$2,625	01-30-2004
ZBHR2002-1HMT	1	\$5,000	\$0	\$0	01-30-2004
ZBIO2003-1HMT	2	\$4,000	\$4,000	\$4,000	01-23-2004
ZBMS2003-1HMT	1	\$5,000	\$5,000	\$5,000	01-21-2004
ZBPWC2004-1HMT	1	\$2,000	\$2,000	\$1,000	08-23-2004
ZBTCI2003-1HMT	1	\$5,000	\$5,000	\$5,000	01-23-2004
ZBTCI2003-2HMT	1	\$2,000	\$2,000	\$2,000	01-23-2004
ZBTCI2003-3HMT	2	\$4,000	\$4,000	\$4,000	01-26-2004
ZCAF2003-1HMT	3	\$6,000	\$6,000	\$4,200	09-16-2004
ZCAF2003-2HMT	3	\$22,500	\$22,500	\$11,250	09-16-2004
ZCALC2004-1HMT	1	\$5,000	\$5,000	\$3,700	03-22-2004
ZCARO2003-2HMT	1	\$1,000	\$1,000	\$1,000	01-30-2004

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FRA Case No.	Viol	No.	Settlement/Final Assessment		
			POCA	PRCA	Amount Date
ZCCCO2003-1HMT	1	\$5,000	\$5,000	\$3,500	04-22-2004
ZCCCO2004-1HMT	2	\$4,000	\$4,000	\$2,600	04-22-2004
ZCCM2003-1HMT	3	\$6,000	\$6,000	\$6,000	12-01-2003
ZCCPQ2004-1HMT	2	\$10,000	\$10,000	\$8,000	09-09-2004
ZCCW2001-1HMT	1	\$2,000	\$2,000	\$1,350	05-24-2004
ZCELA2004-1HMT	1	\$5,000	\$5,000	\$5,000	09-09-2004
ZCEP2003-1HMT	1	\$10,000	\$10,000	\$8,500	12-09-2003
ZCERG2002-1HMT	1	\$2,500	\$2,500	\$1,875	01-30-2004
ZCFII2004-1HMT	1	\$7,500	\$7,500	\$5,625	09-27-2004
ZCFRQ2004-1HMT	1	\$2,000	\$2,000	\$1,600	09-09-2004
ZCFSS2003-1HMT	1	\$2,000	\$2,000	\$1,500	05-18-2004
ZCFSS2004-1HMT	2	\$4,500	\$4,500	\$3,375	05-18-2004
ZCGR2002-1HMT	1	\$1,000	\$1,000	\$700	10-16-2003
ZCHB2003-2HMT	1	\$2,500	\$0	\$0	09-24-2004
ZCHEV2002-2HMT	1	\$10,000	\$10,000	\$8,500	01-27-2004
ZCHEV2003-2HMT	1	\$5,000	\$5,000	\$3,500	08-12-2004
ZCHT2002-1HMT	1	\$5,000	\$5,000	\$3,750	09-09-2004
ZCHT2002-2HMT	2	\$4,000	\$4,000	\$2,400	09-09-2004
ZCHT2002-3HMT	2	\$8,000	\$4,000	\$2,400	09-09-2004
ZCHT2003-1HMT	9	\$26,000	\$26,000	\$12,100	09-09-2004
ZCI2002-1HMT	1	\$10,000	\$10,000	\$6,500	01-21-2004

ZCI2003-1HMT	1	\$5,000	\$5,000	\$3,500	01-21-2004
ZCIBS2004-1HMT	2	\$10,000	\$10,000	\$7,500	07-12-2004
ZCLP2004-1HMT	2	\$4,000	\$4,000	\$4,000	09-28-2004
ZCLU2002-1HMT	2	\$10,000	\$10,000	\$7,000	05-18-2004
ZCNI2004-1HMT	1	\$10,000	\$10,000	\$7,500	08-18-2004
ZCNI2004-2HMT	1	\$10,000	\$10,000	\$7,500	08-18-2004
ZCOU2002-1HMT	1	\$2,000	\$2,000	\$1,200	01-28-2004
ZCPCL2004-1HMT	1	\$5,000	\$5,000	\$5,000	07-29-2004
ZCPR2003-1HMT	2	\$10,000	\$10,000	\$6,500	05-26-2004
ZCPT2003-1HMT	1	\$5,000	\$5,000	\$3,500	09-28-2004
ZCRM2002-1HMT	2	\$5,000	\$5,000	\$3,500	01-27-2004
ZCROM2003-1HMT	1	\$2,000	\$2,000	\$1,300	04-26-2004
ZCROM2003-2HMT	1	\$2,000	\$2,000	\$2,000	01-14-2004
ZCRP2002-1HMT	3	\$32,500	\$32,500	\$32,500	12-17-2003
ZCWHP2003-1HMT	4	\$17,000	\$17,000	\$8,500	09-09-2004
ZCXD2003-1HMT	3	\$12,000	\$12,000	\$6,000	07-14-2004
ZCYT2003-3HMT	1	\$2,000	\$2,000	\$1,700	01-21-2004
ZDCU2003-2HMT	1	\$5,000	\$5,000	\$3,000	10-22-2003
ZDCU2003-3HMT	1	\$2,500	\$2,500	\$1,300	10-22-2003
ZDDW2003-1HMT	1	\$2,000	\$2,000	\$2,000	12-23-2003
ZDECO2003-1HMT	1	\$2,500	\$2,500	\$2,500	02-04-2004
ZDF2002-1HMT	1	\$5,000	\$5,000	\$2,500	12-23-2003
ZDIA2002-1HMT	1	\$5,000	\$5,000	\$2,500	01-30-2004
ZDLT2001-1HMT	1	\$10,000	\$10,000	\$5,000	01-08-2004
ZDOE2002-1HMT	1	\$2,500	\$2,500	\$1,500	10-28-2003
ZDOUG2002-1HMT	1	\$2,000	\$2,000	\$2,000	10-22-2003
ZDTI2003-2HMT	1	\$5,000	\$5,000	\$5,000	12-23-2003
ZDUK2002-1HMT	1	\$5,000	\$5,000	\$2,000	04-05-2004
ZDUK2003-1HMT	1	\$5,000	\$5,000	\$3,000	04-05-2004
ZDUP2002-1HMT	1	\$10,000	\$10,000	\$6,500	12-23-2003
ZDUP2002-2HMT	1	\$10,000	\$10,000	\$6,500	12-23-2003

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			POCA	PRCA	Amount Date
ZDUP2003-1HMT	2	\$15,000	\$15,000	\$9,250	12-23-2003
ZDUP2003-2HMT	3	\$6,000	\$6,000	\$3,750	12-23-2003
ZDYNCS2002-1HMT	1	\$5,000	\$5,000	\$3,000	01-30-2004
ZDYNUS2002-1HMT	2	\$4,000	\$4,000	\$2,600	10-29-2003
ZEAG2001-1EO	34	\$170,000	\$170,000	\$85,000	12-22-2003
ZEAG2002-2HMT	1	\$5,000	\$5,000	\$3,000	12-22-2003
ZEAG2003-1HMT	2	\$13,000	\$15,000	\$9,100	12-22-2003
ZEAG2003-2HMT	1	\$7,500	\$7,500	\$4,500	12-22-2003
ZEAG2003-3HMT	1	\$10,000	\$10,000	\$4,000	12-22-2003
ZEAG2003-4HMT	2	\$7,500	\$7,500	\$5,000	12-22-2003
ZEAS2004-1HMT	1	\$5,000	\$5,000	\$3,500	03-15-2004
ZEIT2003-1HMT	1	\$5,000	\$5,000	\$3,500	11-04-2003
ZEKC2003-1HMT	1	\$5,000	\$5,000	\$5,000	10-29-2003
ZEMCC2003-3HMT	1	\$2,500	\$2,500	\$1,800	10-24-2003
ZEMCC2003-4HMT	1	\$7,500	\$7,500	\$5,250	02-05-2004
ZENP2004-1HMT	1	\$10,000	\$10,000	\$5,500	04-19-2004
ZEOB2003-1HMT	1	\$5,000	\$5,000	\$2,800	12-01-2003
ZEOB2003-2HMT	3	\$22,500	\$22,500	\$14,250	07-15-2004
ZEOST2003-1HMT	2	\$6,000	\$6,000	\$4,500	11-06-2003
ZEOT2003-2HMT	1	\$2,500	\$2,500	\$2,500	12-18-2003

ZEPA2003-1HMT	1	\$10,000	\$10,000	\$5,500	02-23-2004
ZEQU2003-1HMT	1	\$10,000	\$10,000	\$7,000	10-24-2003
ZEXX2003-1HMT	1	\$2,500	\$0	\$0	03-25-2004
ZFEC2003-1HMT	1	\$2,000	\$2,000	\$1,300	01-28-2004
ZFEDR2003-1HMT	2	\$2,000	\$2,000	\$2,000	04-05-2004
ZFIC2003-1HMT	2	\$5,000	\$5,000	\$3,250	01-21-2004
ZFMC2003-1HMT	1	\$10,000	\$10,000	\$7,500	01-13-2004
ZFMC2003-2HMT	1	\$5,000	\$5,000	\$3,750	01-13-2004
ZFMCO2003-1HMT	4	\$12,000	\$12,000	\$7,200	02-05-2004
ZFOR2003-1HMT	1	\$10,000	\$10,000	\$10,000	01-13-2004
ZFPG2003-1HMT	4	\$8,000	\$8,000	\$1,000	02-18-2004
ZFPN2002-1HMT	1	\$2,000	\$2,000	\$1,000	10-10-2003
ZFTL2001-1HMT	3	\$10,000	\$10,000	\$5,000	01-21-2004
ZGDT2004-1HMT	4	\$8,000	\$8,000	\$5,000	01-30-2004
ZGGC2002-1HMT	1	\$2,000	\$2,000	\$1,300	12-19-2003
ZGLT2002-1HMT	1	\$2,000	\$2,000	\$1,500	01-16-2004
ZGPA2001-2HMT	1	\$5,000	\$5,000	\$5,000	02-02-2004
ZGPA2004-1HMT	2	\$4,000	\$4,000	\$4,000	08-26-2004
ZGPI2002-1HMT	2	\$5,000	\$5,000	\$2,500	01-09-2004
ZGPI2002-2HMT	1	\$2,000	\$2,000	\$1,000	01-09-2004
ZGPL2002-1HMT	1	\$2,000	\$2,000	\$2,000	11-12-2003
ZGPR2004-1HMT	1	\$2,000	\$2,000	\$2,000	06-04-2004
ZHIP2002-1HMT	1	\$5,000	\$0	\$0	01-30-2004
ZHLT2001-1HMT	1	\$7,500	\$7,500	\$7,500	01-13-2004
ZHOL2003-1HMT	1	\$2,000	\$2,000	\$1,200	09-14-2004
ZHWS2002-1HMT	1	\$5,000	\$5,000	\$4,000	03-09-2004
ZHWS2002-2HMT	1	\$2,000	\$2,000	\$1,500	03-09-2004
ZIAF2002-1HMT	2	\$10,000	\$10,000	\$10,000	12-23-2003
ZICC2003-1HMT	1	\$5,000	\$5,000	\$3,000	03-24-2004
ZIMA2004-1HMT	1	\$5,000	\$5,000	\$3,000	08-25-2004
ZINTT2003-1HMT	1	\$5,000	\$5,000	\$3,000	11-07-2003
ZINTT2003-2HMT	1	\$7,500	\$7,500	\$4,500	11-07-2003

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FRA Case No.	Viol	No.	Settlement/Final Assessment		
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ZIP2003-1HMT	1	\$7,500	\$7,500	\$5,000	11-04-2003
ZIP2003-2HMT	1	\$5,000	\$5,000	\$4,000	04-13-2004
ZIPNA2003-1HMT	1	\$5,000	\$5,000	\$3,000	01-08-2004
ZIPP2003-1HMT	2	\$5,000	\$5,000	\$3,500	07-22-2004
ZIRO2004-1HMT	3	\$7,500	\$7,500	\$4,500	09-17-2004
ZISC2004-1HMT	1	\$1,500	\$1,500	\$1,000	08-26-2004
ZITGC2003-1HMT	1	\$2,500	\$2,500	\$1,500	12-17-2003
ZJCC2003-1HMT	1	\$5,000	\$5,000	\$3,000	10-23-2003
ZJHO2001-1HMT	1	\$10,000	\$10,000	\$5,000	04-14-2004
ZJHO2002-1HMT	1	\$10,000	\$10,000	\$5,000	04-14-2004
ZJMI2003-1HMT	1	\$5,000	\$5,000	\$5,000	09-07-2004
ZJOHN2003-1HMT	3	\$12,000	\$5,000	\$3,000	04-29-2004
ZJRS2003-2HMT	1	\$15,000	\$15,000	\$8,500	09-16-2004
ZJRS2004-1HMT	1	\$2,500	\$2,500	\$1,500	06-25-2004
ZKCI2003-1HMT	2	\$11,000	\$11,000	\$11,000	01-09-2004
ZKEP2002-1HMT	1	\$5,000	\$5,000	\$3,500	01-30-2004
ZKEP2002-2HMT	1	\$5,000	\$5,000	\$3,000	01-30-2004
ZKEP2002-3HMT	1	\$5,000	\$5,000	\$4,000	01-30-2004
ZKING2002-1HMT	2	\$4,000	\$4,000	\$2,400	03-03-2004

ZKMB2002-1HMT	1	\$10,000	\$10,000	\$7,000	04-25-2004
ZKMB2003-1HMT	4	\$6,000	\$6,000	\$6,000	07-06-2004
ZKMB2004-1HMT	1	\$10,000	\$10,000	\$7,500	08-24-2004
ZKMO2001-1HMT	1	\$5,000	\$5,000	\$3,500	01-14-2004
ZKMO2002-1HMT	1	\$5,000	\$5,000	\$4,000	01-14-2004
ZKPI2002-4HMT	2	\$5,000	\$5,000	\$5,000	01-09-2004
ZKPI2003-2HMT	2	\$15,000	\$15,000	\$15,000	01-09-2004
ZKRC2002-1HMT	4	\$10,000	\$10,000	\$3,000	01-28-2004
ZKTS2001-1HMT	4	\$8,000	\$8,000	\$4,000	03-10-2004
ZLAR2002-1HMT	2	\$5,000	\$5,000	\$3,500	01-20-2004
ZLBI2003-1HMT	1	\$2,000	\$0	\$0	02-24-2004
ZLBI2003-2HMT	1	\$2,000	\$0	\$0	02-24-2004
ZLBI2003-3HMT	1	\$2,000	\$0	\$0	02-24-2004
ZLCQ2004-1HMT	1	\$2,000	\$2,000	\$1,300	09-22-2004
ZLPY2003-1HMT	1	\$2,000	\$2,000	\$1,250	07-12-2004
ZLTC2002-1HMT	3	\$8,000	\$8,000	\$6,000	01-20-2004
ZLTGX2003-1HMT	1	\$2,500	\$2,500	\$1,375	09-02-2004
ZLTT2003-1HMT	1	\$1,000	\$1,000	\$1,000	09-02-2004
ZLUB2003-1HMT	1	\$10,000	\$10,000	\$8,500	12-05-2003
ZLUB2004-1HMT	1	\$10,000	\$10,000	\$8,000	07-06-2004
ZLUIN2003-1HMT	1	\$10,000	\$10,000	\$6,500	07-13-2004
ZMAGN2002-1HMT	2	\$10,000	\$10,000	\$10,000	10-22-2003
ZMDGP2003-1HMT	2	\$7,000	\$7,000	\$4,900	10-08-2003
ZMDGP2003-2HMT	1	\$5,000	\$5,000	\$3,125	04-29-2004
ZMDGP2003-3HMT	1	\$5,000	\$5,000	\$3,600	10-08-2003
ZMDGP2003-4HMT	1	\$5,000	\$5,000	\$3,125	04-29-2004
ZMMCH2002-1HMT	1	\$10,000	\$10,000	\$7,000	01-22-2004
ZNCC2003-1HMT	3	\$8,000	\$8,000	\$5,200	02-23-2004
ZNFC2003-1HMT	1	\$2,000	\$2,000	\$1,300	03-09-2004
ZNFC2004-1HMT	1	\$5,000	\$5,000	\$3,000	09-28-2004
ZNICK2003-1HMT	1	\$2,500	\$2,500	\$2,500	01-30-2004
ZNLPG2003-1HMT	2	\$6,000	\$6,000	\$6,000	10-06-2003
ZNOV2003-1HMT	1	\$5,000	\$5,000	\$3,500	11-20-2003

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FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
ZNRCI2003-1HMT	1	\$5,000	\$5,000	\$5,000 01-23-2004
ZNUC2003-1HMT	10	\$35,000	\$35,000	\$25,000 01-21-2004
ZNYK2003-1HMT	1	\$4,000	\$4,000	\$4,000 10-14-2003
ZOCI2000-1HMT	5	\$14,500	\$14,500	\$8,000 03-05-2004
ZOVT2003-1HMT	1	\$5,000	\$5,000	\$5,000 11-19-2003
ZOWT2003-1HMT	1	\$2,000	\$2,000	\$2,000 10-17-2003
ZPADC2003-1HMT	1	\$2,000	\$2,000	\$2,000 11-10-2003
ZPHI2003-1HMT	1	\$2,000	\$2,000	\$1,200 11-10-2003
ZPHO2003-1HMT	1	\$2,000	\$2,000	\$2,000 01-13-2004
ZPRX2003-1HMT	1	\$5,000	\$5,000	\$5,000 01-12-2004
ZQRS2003-1HMT	7	\$7,000	\$7,000	\$2,500 01-30-2004
ZQRS2003-2HMT	1	\$1,000	\$1,000	\$1,000 01-30-2004
ZRCCO2004-1HMT	2	\$4,000	\$4,000	\$2,750 06-09-2004
ZRDC1999-1HMT	2	\$4,000	\$4,000	\$2,600 03-12-2004
ZRDC2001-1HMT	1	\$10,000	\$10,000	\$6,800 03-12-2004
ZRE2003-3HMT	1	\$7,500	\$7,500	\$5,250 12-31-2003
ZREM2003-1HMT	1	\$2,000	\$2,000	\$1,300 11-24-2003
ZRHIN1999-1HMT	1	\$2,500	\$2,500	\$1,250 08-13-2004

ZRPM2003-1HMT	1	\$5,000	\$5,000	\$5,000	01-21-2004
ZSBP2002-1HMT	1	\$5,000	\$5,000	\$3,000	01-07-2004
ZSCC2002-1HMT	1	\$2,500	\$2,500	\$1,750	02-13-2004
ZSCC2002-2HMT	1	\$5,000	\$5,000	\$3,500	12-17-2003
ZSCC2003-1HMT	3	\$15,000	\$15,000	\$12,000	12-08-2003
ZSCC2003-3HMT	1	\$10,000	\$10,000	\$7,750	03-26-2004
ZSEAL2003-1HMT	17	\$44,000	\$0	\$0	12-10-2003
ZSHH2003-1HMT	1	\$2,500	\$2,500	\$2,500	12-01-2003
ZSIMP2003-1HMT	2	\$10,000	\$10,000	\$6,500	10-03-2003
ZSIN2003-2HMT	1	\$2,500	\$2,500	\$1,250	11-14-2003
ZSIN2003-4HMT	1	\$2,500	\$2,500	\$1,250	11-14-2003
ZSIN2004-1HMT	2	\$15,000	\$15,000	\$9,000	08-16-2004
ZSJW2000-1HMT	1	\$5,000	\$0	\$0	08-27-2004
ZSLD2002-1HMT	1	\$10,000	\$0	\$0	01-07-2004
ZSNI2002-1HMT	2	\$10,000	\$10,000	\$6,500	01-07-2004
ZSNI2002-2HMT	2	\$10,000	\$10,000	\$6,000	01-07-2004
ZSNOC2003-1HMT	3	\$15,000	\$15,000	\$11,250	03-01-2004
ZSNPR2003-1HMT	1	\$10,000	\$10,000	\$4,000	10-20-2003
ZSNWO2004-1HMT	1	\$2,000	\$2,000	\$2,000	08-31-2004
ZSOC2002-1HMT	1	\$5,000	\$5,000	\$3,500	12-17-2003
ZSOC2003-1HMT	1	\$2,000	\$2,000	\$1,600	12-17-2003
ZSOC2003-2HMT	2	\$7,500	\$7,500	\$5,250	06-07-2004
ZSOC2003-3HMT	1	\$5,000	\$5,000	\$3,750	06-07-2004
ZSON2002-1HMT	1	\$7,500	\$7,500	\$5,100	03-01-2004
ZSON2003-1HMT	1	\$10,000	\$10,000	\$7,500	03-01-2004
ZSOO2003-1HMT	2	\$4,500	\$4,500	\$3,150	03-01-2004
ZSPL2004-1HMT	1	\$7,500	\$7,500	\$5,500	08-31-2004
ZSRM2002-2HMT	4	\$11,000	\$11,000	\$8,000	03-01-2004
ZSSA2001-1HMT	3	\$9,000	\$0	\$0	01-27-2004
ZSSCO2003-1HMT	1	\$2,000	\$2,000	\$1,400	03-01-2004
ZSTE2003-2HMT	1	\$2,500	\$2,500	\$2,000	04-07-2004
ZSTS2004-1HMT	3	\$6,000	\$6,000	\$4,500	09-29-2004
ZSTV2004-1HMT	4	\$8,000	\$8,000	\$5,600	09-29-2004
ZSUC2004-1HMT	1	\$2,000	\$2,000	\$2,000	08-31-2004

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FRA Case No.	No. Viol	Settlement/Final Assessment		
		POCA	PRCA	Amount Date
ZTC2000-2HMT	1	\$2,000	\$2,000	\$2,000 03-09-2004
ZTC2002-1HMT	1	\$2,000	\$2,000	\$1,500 01-07-2004
ZTCA2002-1HMT	20	\$51,750	\$51,750	\$32,750 12-30-2003
ZTCAL2003-1HMT	1	\$4,000	\$4,000	\$2,800 03-03-2004
ZTEPP2002-1HMT	1	\$2,500	\$2,500	\$2,500 12-15-2003
ZTERM2003-1HMT	2	\$10,000	\$10,000	\$7,500 02-25-2004
ZTERM2003-2HMT	1	\$7,500	\$7,500	\$3,750 05-18-2004
ZTERM2004-2HMT	1	\$5,000	\$5,000	\$5,000 05-27-2004
ZTGO2002-1HMT	1	\$2,500	\$2,500	\$1,500 02-12-2004
ZTGOL2003-1HMT	1	\$2,000	\$2,000	\$1,500 12-16-2003
ZTHM2003-1HMT	1	\$5,000	\$5,000	\$5,000 10-15-2003
ZTKCH2004-1HMT	1	\$15,000	\$15,000	\$9,000 04-15-2004
ZTLS2003-1HMT	1	\$2,000	\$2,000	\$2,000 10-07-2003
ZTNI2003-1HMT	1	\$7,500	\$7,500	\$4,800 03-29-2004
ZTOS2003-1HMT	1	\$2,500	\$2,500	\$2,000 08-10-2004
ZTOSC2001-1HMT	1	\$5,000	\$5,000	\$3,500 01-07-2004
ZTOSC2002-1HMT	1	\$5,000	\$5,000	\$3,500 01-07-2004

ZTOSC2002-2HMT	2	\$4,000	\$4,000	\$4,000	12-03-2003
ZTOSC2002-3HMT	1	\$5,000	\$5,000	\$3,500	01-07-2004
ZTOT2002-1HMT	1	\$2,500	\$2,500	\$1,800	01-16-2004
ZTPIP2003-1HMT	1	\$5,000	\$5,000	\$3,000	02-25-2004
ZTRH2002-1HMT	1	\$2,000	\$2,000	\$1,250	08-04-2004
ZTRH2003-1HMT	1	\$2,000	\$2,000	\$1,500	08-04-2004
ZTSS2002-1HMT	1	\$2,500	\$2,500	\$1,750	10-06-2003
ZTSS2003-1HMT	1	\$5,000	\$5,000	\$3,800	01-12-2004
ZTULC2003-1HMT	1	\$2,000	\$2,000	\$2,000	01-05-2004
ZTXM2002-1HMT	1	\$10,000	\$10,000	\$5,500	01-20-2004
ZUDE2001-1HMT	2	\$10,000	\$10,000	\$10,000	01-23-2004
ZUDV2000-1HMT	1	\$2,000	\$2,000	\$1,200	12-17-2003
ZUHP2002-1HMT	2	\$15,000	\$15,000	\$12,250	02-02-2004
ZUHP2003-1HMT	2	\$10,000	\$10,000	\$8,000	02-02-2004
ZUNA2002-1HMT	1	\$5,000	\$5,000	\$4,250	05-24-2004
ZUNC2002-1HMT	1	\$2,500	\$0	\$0	02-02-2004
ZURS1999-1HMT	4	\$19,500	\$0	\$0	01-12-2004
ZUSD2001-2HMT	1	\$5,000	\$5,000	\$2,500	01-27-2004
ZUSF2003-1HMT	2	\$10,000	\$10,000	\$7,500	01-28-2004
ZUSF2003-3HMT	1	\$2,500	\$2,500	\$2,500	01-28-2004
ZUTC2004-2HMT	1	\$10,000	\$0	\$0	09-27-2004
ZVALE2001-1HMT	1	\$10,000	\$10,000	\$8,000	01-20-2004
ZVALE2003-1HMT	1	\$2,000	\$2,000	\$1,500	07-06-2004
ZVALE2003-2HMT	1	\$15,000	\$15,000	\$11,250	07-06-2004
ZVALE2003-3HMT	1	\$2,500	\$2,500	\$1,650	07-06-2004
ZVALM2003-1HMT	1	\$5,000	\$10,000	\$6,000	07-06-2004
ZVALM2003-2HMT	1	\$5,000	\$5,000	\$3,500	07-06-2004
ZVEI1999-1HMT	2	\$7,000	\$0	\$0	09-10-2004
ZVEL1999-1HMT	1	\$5,000	\$0	\$0	09-08-2004
ZVGT2001-1HMT	3	\$8,000	\$7,000	\$3,900	01-20-2004
ZVIE2003-1HMT	1	\$2,000	\$2,000	\$1,600	01-20-2004
ZVPK2002-1HMT	1	\$5,000	\$5,000	\$4,000	02-17-2004
ZVPK2002-2HMT	1	\$2,000	\$2,000	\$1,700	12-10-2003
ZVPRI2004-1HMT	3	\$22,500	\$22,500	\$13,600	09-01-2004
ZVTS2003-1HMT	1	\$1,500	\$1,500	\$1,500	11-19-2003

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Federal Railroad Administration
Safety Cases Closed in FY 2004

FRA Case No.	Viol	Settlement/Final Assessment		
		No.	POCA	PRCA
ZVWS2003-1HMT	2	\$5,000	\$5,000	\$5,000 04-20-2004
ZWEC2003-1HMT	5	\$10,000	\$10,000	\$7,000 07-15-2004
ZWER2002-1HMT	4	\$7,000	\$7,000	\$5,000 02-19-2004
ZWFS2003-1HMT	1	\$2,000	\$2,000	\$1,300 06-07-2004
ZWFS2003-2HMT	1	\$2,000	\$2,000	\$1,300 06-07-2004
ZWFS2003-3HMT	3	\$9,000	\$9,000	\$5,900 06-07-2004
ZWFS2004-1HMT	1	\$2,500	\$2,500	\$1,250 06-22-2004
ZWITT2003-1HMT	1	\$2,000	\$2,000	\$1,500 06-07-2004
ZWLT2002-1HMT	3	\$6,000	\$6,000	\$3,000 07-21-2004
ZWST2002-1HMT	3	\$9,000	\$9,000	\$6,500 10-17-2003
ZWST2003-1HMT	1	\$10,000	\$10,000	\$8,000 10-08-2003
ZWST2003-2HMT	1	\$10,000	\$10,000	\$7,000 08-17-2004
ZWVC2003-1HMT	1	\$7,500	\$7,500	\$4,500 04-25-2004
ZYMP2001-1HMT	2	\$9,500	\$9,500	\$2,000 01-20-2004
Sum		\$16,648,248	\$15,920,640	\$10,611,420